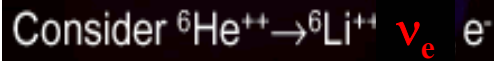




# Neutrino Physics

Alain Blondel University of Geneva

1. What are neutrinos and how do we know ?
2. The neutrino questions
3. neutrino mass and neutrino oscillations
3. Future neutrino experiments
4. conclusions



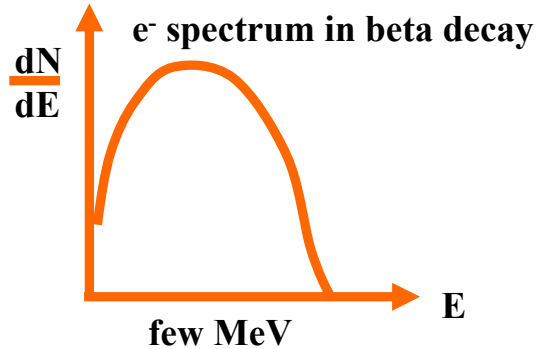
$Q=3.5078 \text{ MeV} \quad T/2 \approx 0.8067 \text{ s}$

1930

# Neutrinos: *the birth of the idea*

Pauli's letter of the 4th of December 1930

Dear Radioactive Ladies and Gentlemen,



As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, how because of the "wrong" statistics of the N and Li6 nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that **there could exist in the nuclei electrically neutral particles, that I wish to call neutrons, which have spin 1/2 and obey the exclusion principle** and which further differ from light quanta in that they do not travel with the velocity of light. **The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses.** The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant...

I agree that my remedy could seem incredible because one should have seen those neutrons very earlier if they really exist. But only the one who dare can win and the difficult situation, due to the continuous structure of the beta spectrum, is lighted by a remark of my honoured predecessor, Mr Debye, who told me recently in Bruxelles: "Oh, It's well better not to think to this at all, like new taxes". From now on, every solution to the issue must be discussed. Thus, dear radioactive people, look and judge.

Unfortunately, I cannot appear in Tübingen personally since I am indispensable here in Zurich because of a ball on the night of 6/7 December. With my best regards to you, and also to Mr Back.

Your humble servant

. W. Pauli

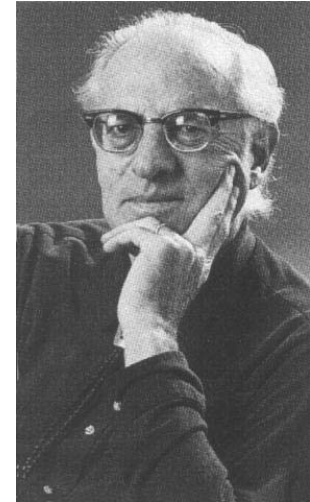
**Wolfgang Pauli**



# Neutrinos

*direct detection*

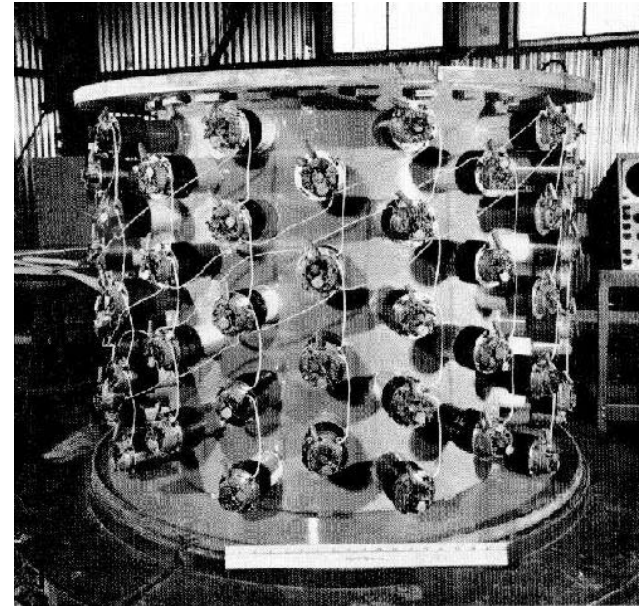
1953



Reines and Cowan

The target is made of about 400 liters of water mixed with cadmium chloride

The anti-neutrino coming from the nuclear reactor interacts with a proton of the target, giving a positron and a neutron. The positron annihilates with an electron of target and gives two simultaneous photons. The neutron slows down before being eventually captured by a cadmium nucleus, that gives the emission of photons about 15 microseconds after those of the positron. All those photons are detected and the 15 microseconds identify the "neutrino" interaction.



4-fold delayed coincidence

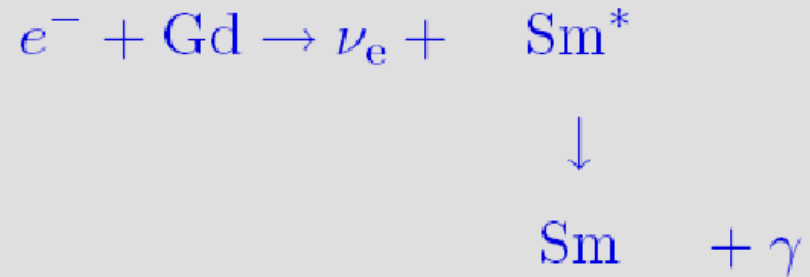


**1956 Parity violation in Co beta decay: electron is left-handed (C.S. Wu et al)**

**1957 Neutrino helicity measurement (M. Goldhaber et al):**

**neutrinos are left-handed**

**$\gamma$  polarization is detected by absorption in  
(reversibly)magnetized iron**

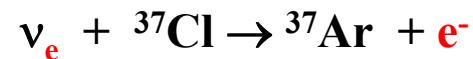


**1959 Ray Davis established that**

**(anti) neutrinos from reactors do not interact with chlorine to produce argon**

**reactor :  $n \rightarrow p \ e^{-} \ \bar{\nu}_e$**

**these  $\bar{\nu}_e$  do not do  
they are **anti-neutrinos****



# Neutrinos

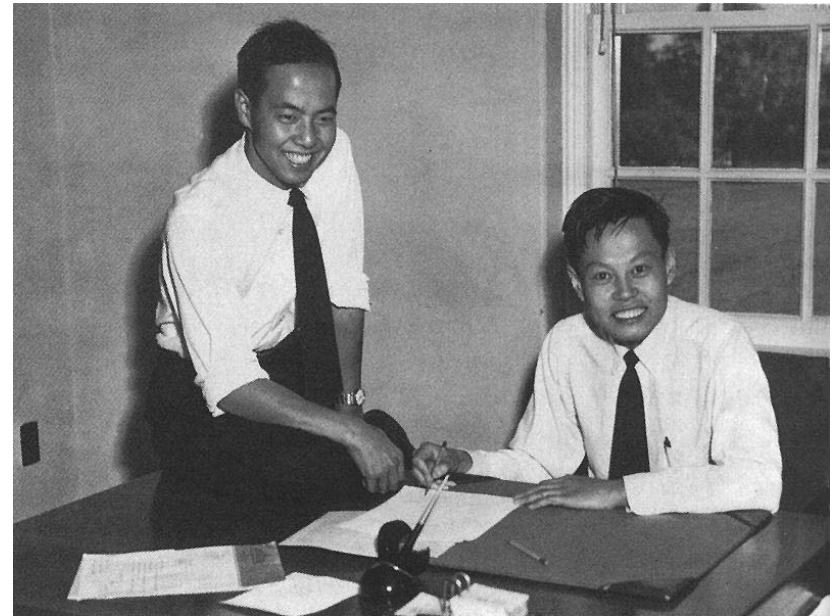
*the properties*

1960

In 1960, Lee and Yang are realized that if a reaction like



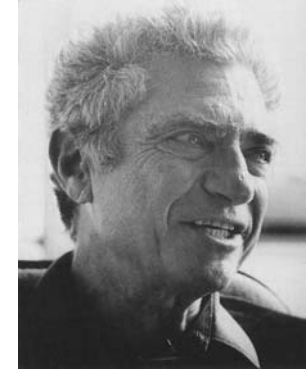
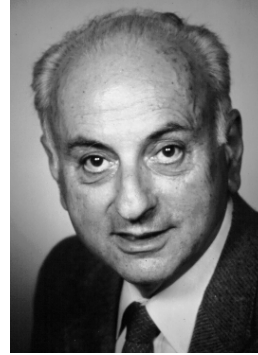
is not observed, this is because two types of neutrinos exist  $\nu_\mu$  and  $\nu_e$



Lee and Yang

# Two Neutrinos

1962

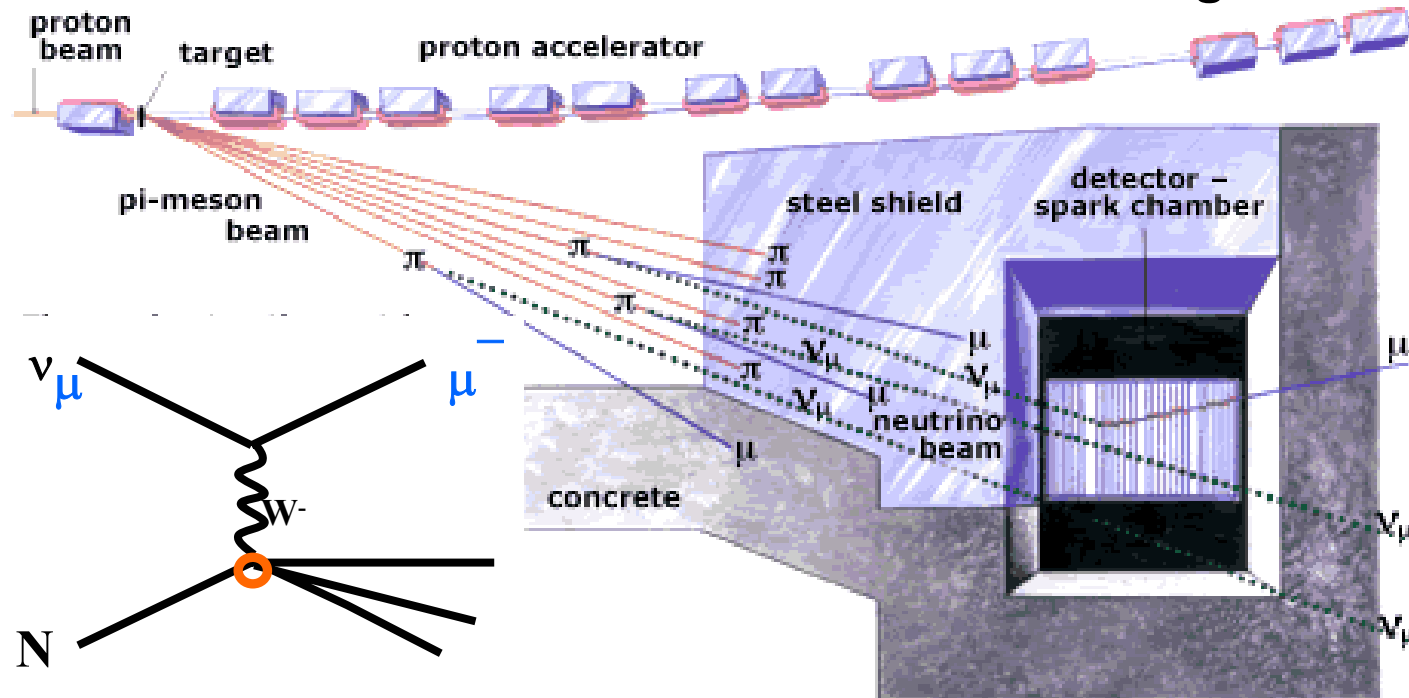


AGS Proton Beam

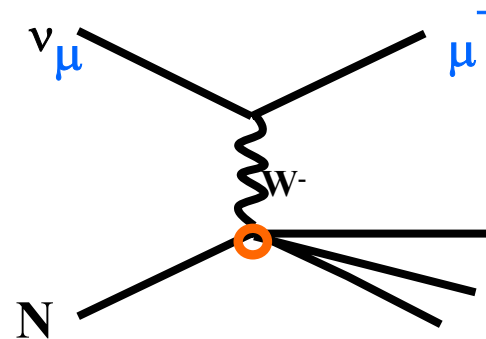
Schwartz

Lederman

Steinberger



Neutrinos from  $\pi$ -decay only produce muons (not electrons)



when they interact in matter

hadrons





# Neutrinos

*the weak neutral current*

Gargamelle Bubble Chamber  
CERN

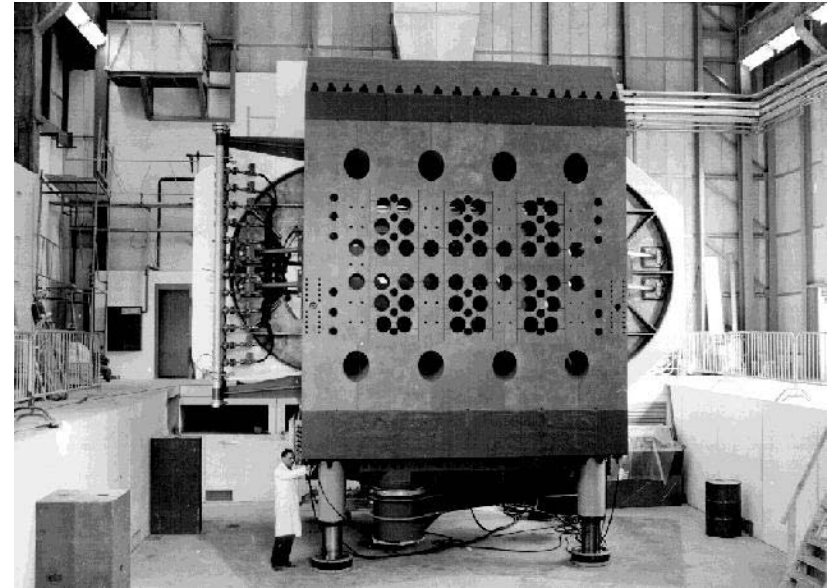
Discovery of weak neutral current

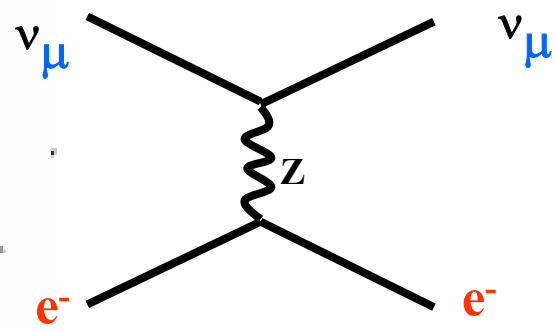
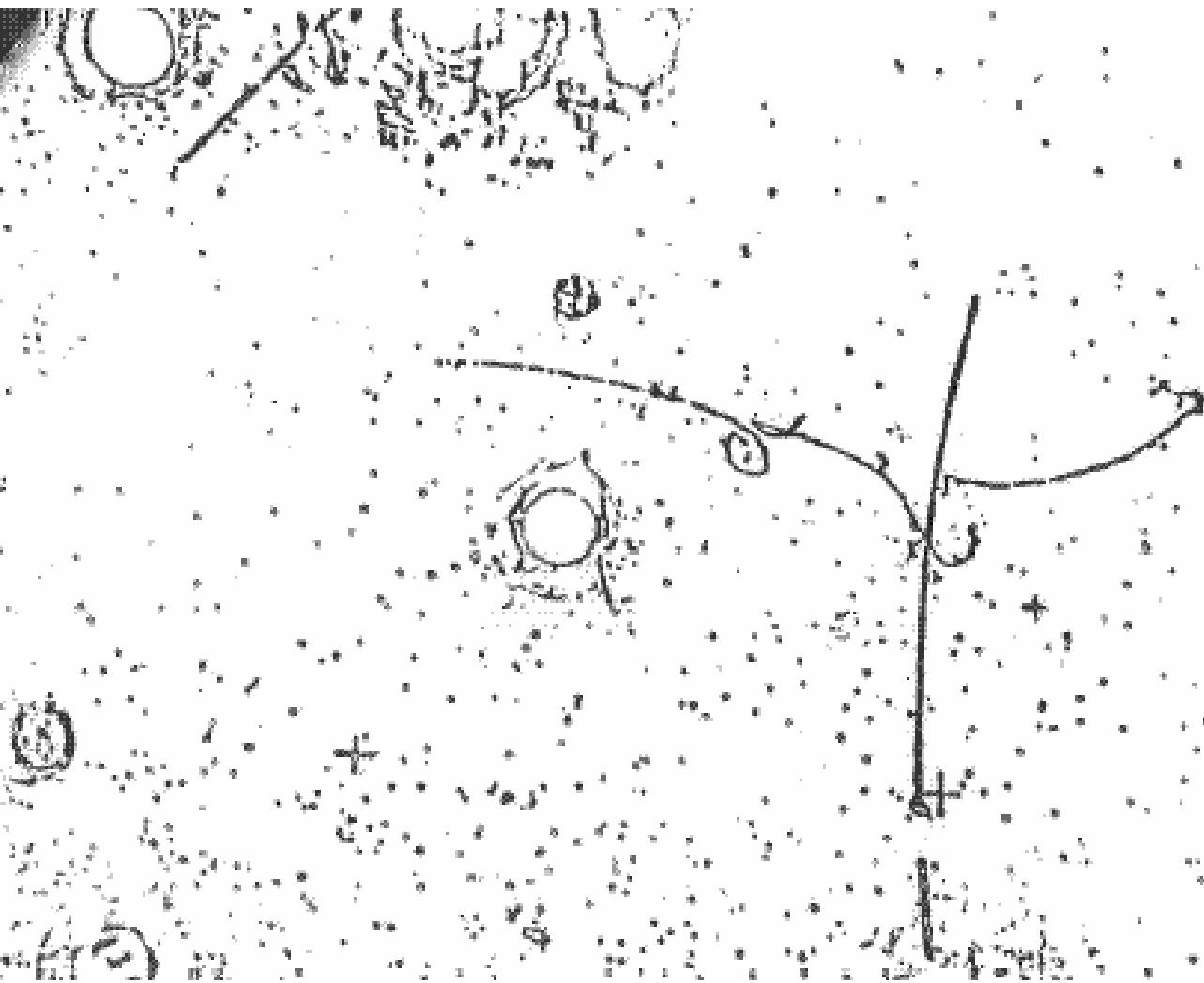
$$\nu_{\mu} + e \rightarrow \nu_{\mu} + e$$

$$\nu_{\mu} + N \rightarrow \nu_{\mu} + X \text{ (no muon)}$$

previous searches for neutral currents had been performed in particle decays  
(e.g.  $K^0 \rightarrow \mu\mu$ ) leading to extremely stringent limits ( $10^{-7}$  or so)

early neutrino experiments had set their trigger on final state (charged) lepton!





elastic scattering of neutrino  
off electron in the liquid

**1973 Gargamelle**

**experimental birth of the Standard model**





# The Standard Model: 3 families of spin 1/2 quark and leptons interacting with spin 1 vector bosons ( $\gamma$ , W&Z, gluons)

charged leptons

$e$

$$mc^2 = 0.0005 \text{ GeV}$$

$\mu$

$$0.106 \text{ GeV}$$

$\tau$

$$1.77 \text{ GeV}$$

neutral leptons = neutrinos

$\nu_e$

$$mc^2 \text{ ?=? } < 3 \text{ eV}$$

$\nu_\mu$

$$< 3 \text{ eV}$$

$\nu_\tau$

$$< 3 \text{ eV}$$

quarks

$d$

$$mc^2 = 0.005 \text{ GeV}$$

strange

$$0.200 \text{ GeV}$$

beauty

$$5 \text{ GeV}$$

$u$

$$mc^2 = 0.003 \text{ GeV}$$

charm

$$1.5 \text{ GeV}$$

top

$$mc^2 = 175 \text{ GeV}$$

First family

Seconde family

Third family



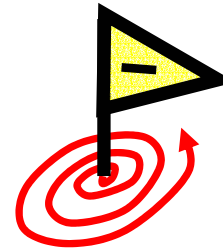
some remarkable symmetries:

each quark comes in 3 colors

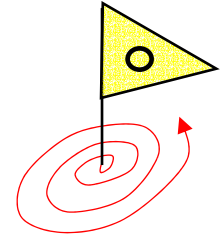
sum of charges is

$$-1 + 0 + 3 \times (2/3 - 1/3) = 0$$

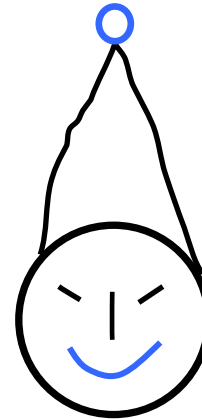
this turns out to be a necessary condition  
for the stability of  
higher order radiative corrections



Electron  
charge -1



Neutrino  
charge 0



Quark up  
charge 2/3



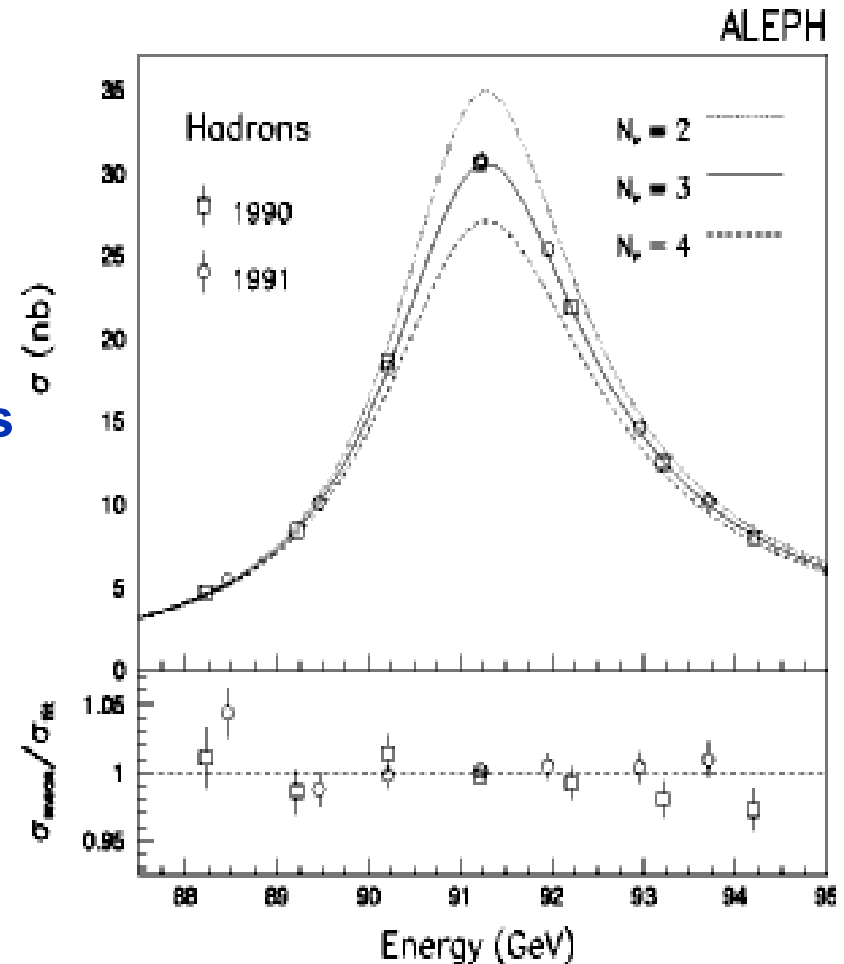
Quark down  
charge -1/3

# 1989 The Number of Neutrinos

*collider experiments: LEP*

- $N_\nu$  determined from the visible Z cross-section at the peak (most of which are hadrons):  
the more decays are invisible the fewer are visible:  
hadron cross section decreases by 13% for one more family of neutrinos

in 2001:  $N_\nu = 2.984 \pm 0.008$



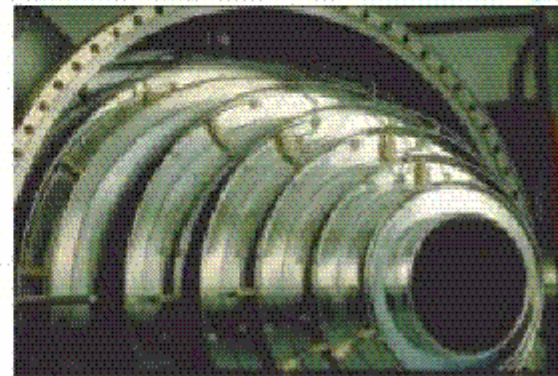
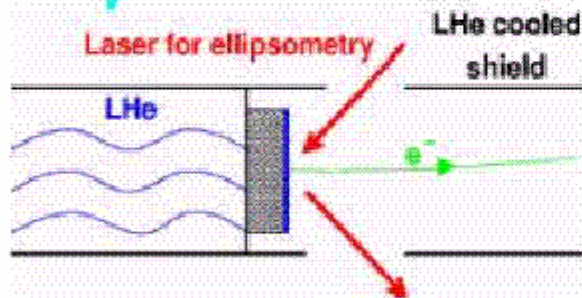
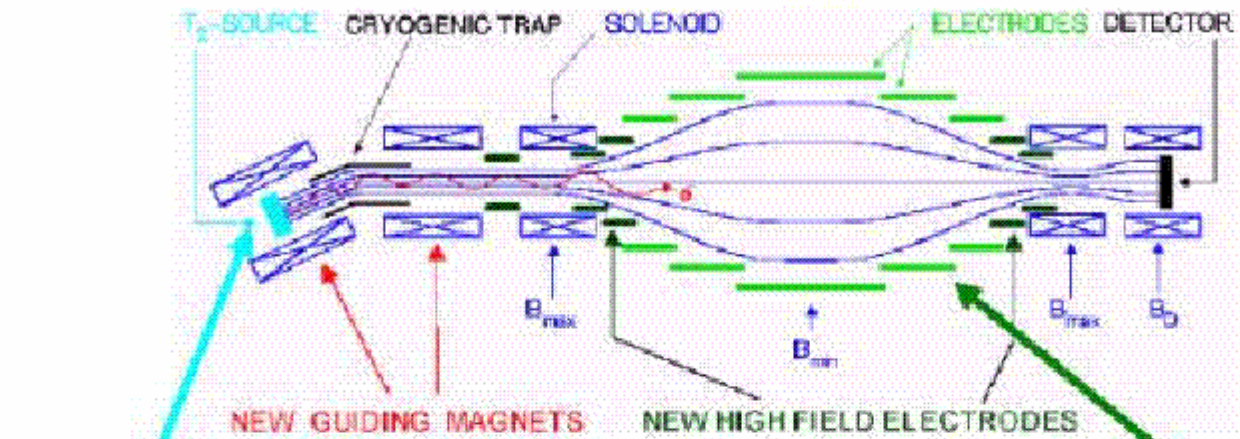
# Neutrino mysteries

1. neutrinos are massless or nearly so  
mass limit of  $2.2\text{eV}/c^2$  from beta decay
2. neutrinos appear in a single helicity (or chirality?)  
but of course weak interaction only couples to left-handed particles  
and neutrinos have no other known interaction...  
So... even if right handed neutrinos existed,  
they would neither be produced nor be detected!
3. if they are not massless why are the masses so different from those of other  
quark and leptons?
4. 3 families are necessary for CP violation, but why only 3 families?

.....



# Mainz Neutrino Mass Experiment since 1997



- $T_2$  Film at 1.86 K
- quench-condensed on graphite (HOPG)
- 45 nm thick ( $\approx 130\text{ML}$ ), area  $2\text{cm}^2$
- Thickness determination by ellipsometry

Mainz  
v group  
2001:

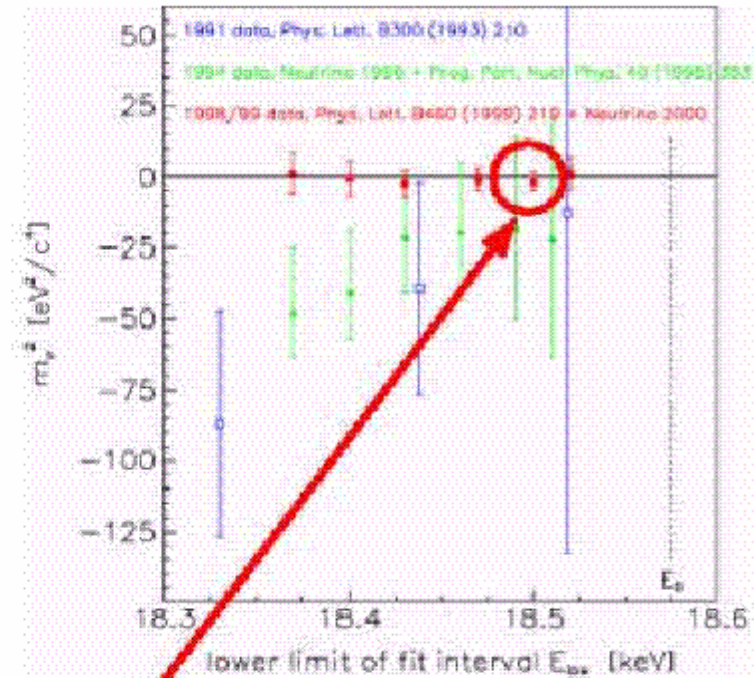
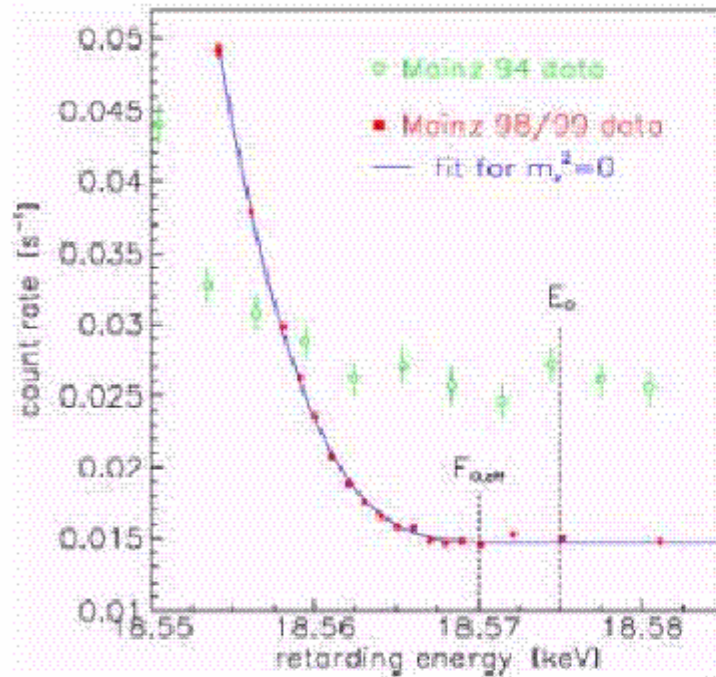
J. Benn  
B. Bornschein\*  
L. Bornschein  
B. Flatt  
Ch. Kraus  
B. Müller  
E.W. Otten  
J.P. Schall  
Th. Thümmler\*\*  
Ch. Weinheimer\*\*

\* → FZ Karlsruhe

\*\* → Univ. Bonn



# Mainz data of 1998, 1999



$$m^2(\nu) = -1.6 \pm 2.5 \pm 2.1 \text{ eV}^2 \quad (\chi^2/\text{d.o.f.} = 125/121)$$

$$\Rightarrow m(\nu) < 2.2 \text{ eV} \quad (95\% \text{ C.L.})$$

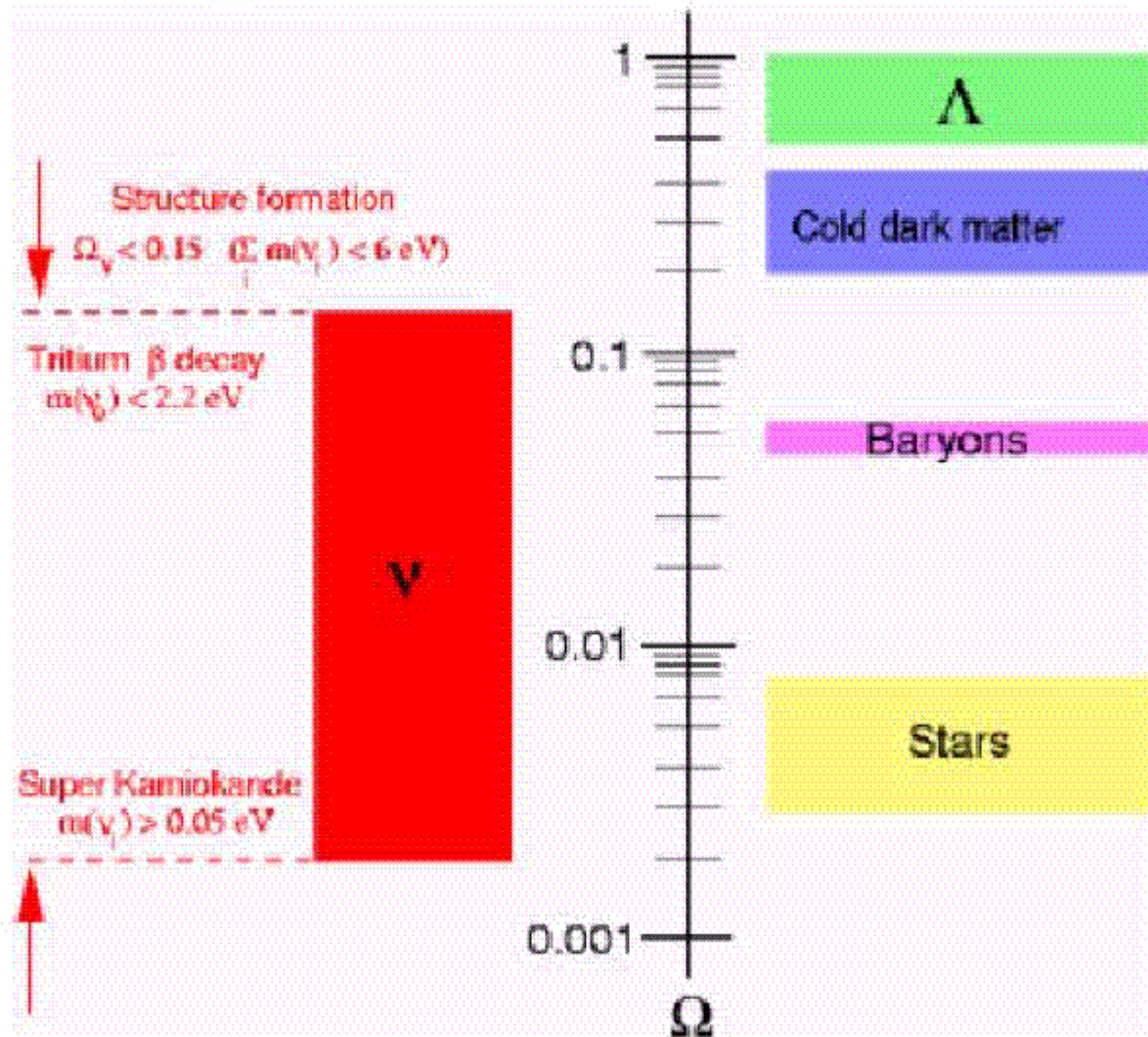
(J. Bonn et al., Nucl. Phys. B (Proc. Suppl.) 91 (2001) 273)





# What IS the neutrino mass?????

## Cosmology and neutrino mass



There is a long way to go to match direct measurements of neutrino masses with oscillation results and cosmological constraints



# Neutrinos

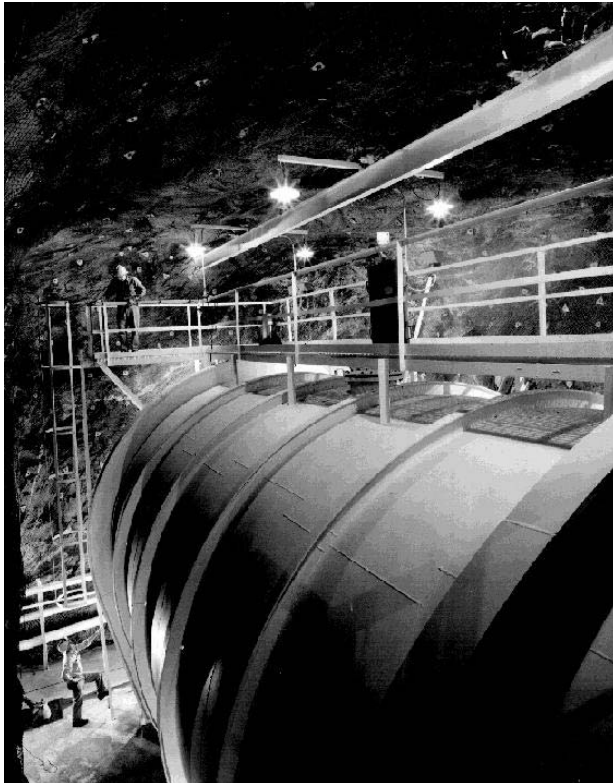
*astrophysical neutrinos*

Ray Davis

since ~1968



## Homestake Detector



**Solar Neutrino Detection**  
**600 tons of chlorine.**

- Detected neutrinos  $E > 1\text{MeV}$
- fusion process in the sun

solar :  $pp \rightarrow pn \ e^+ \ \nu_e$  (then D gives He etc...)

these  $\nu_e$  do  $\nu_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^-$

they are **neutrinos**

- The rate of neutrinos detected is **three** times less than predicted!

**solar neutrino 'puzzle' since 1968-1975!**

solution: 1) solar nuclear model is wrong or 2) neutrino oscillate





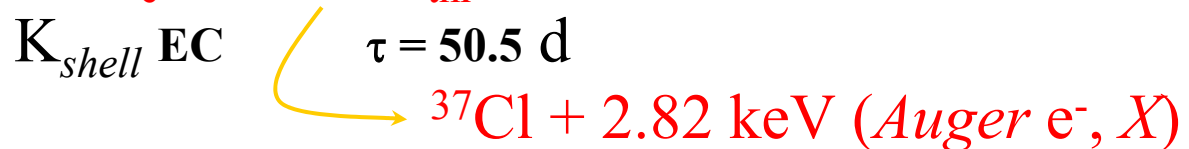
## The Pioneer: Chlorine Experiment

The interaction



$K_{\text{shell}} \text{ EC}$

$\tau = 50.5 \text{ d}$



$\nu$  Signal Composition:  
(BP04+N14 SSM+  $\nu$  osc)

pep+hep	0.15 SNU	( 4.6%)
${}^7\text{Be}$	0.65 SNU	(20.0%)
${}^8\text{B}$	2.30 SNU	(71.0%)
CNO	0.13 SNU	( 4.0%)
Tot	3.23 SNU	$\pm 0.68 \text{ } 1\sigma$

Expected Signal  
(BP04 + N14)

8.2 SNU  $+1.8$   
 $-1.8 \text{ } 1\sigma$

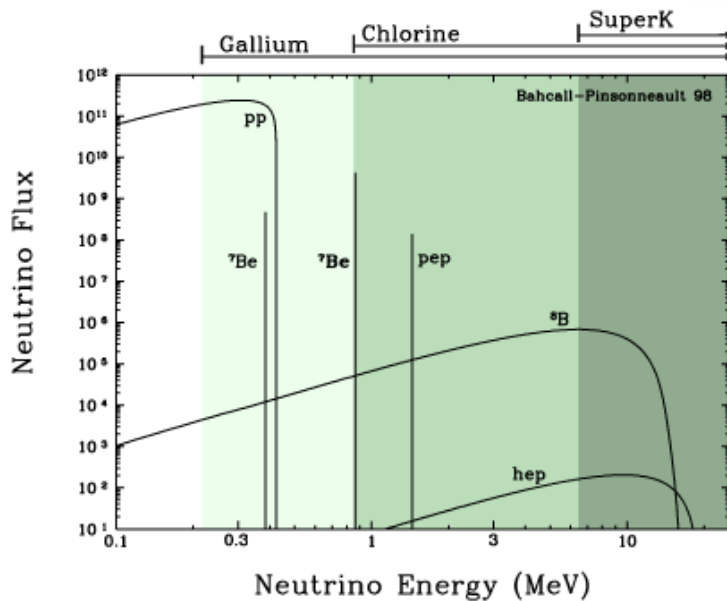
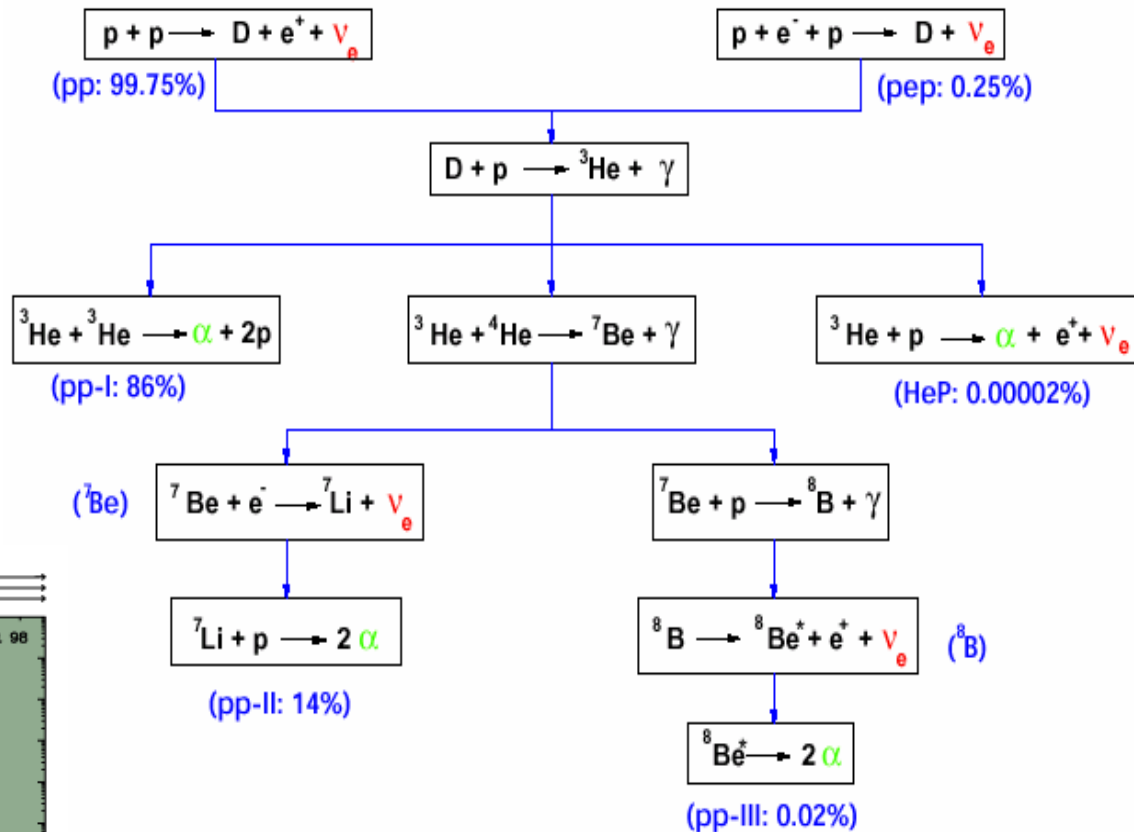
# $\nu_e$ solar neutrinos

☞ Sun = Fusion reactor

☞ Only  $\nu_e$  produced

☞ Different reactions

☞ Spectrum in energy



Counting experiments vs flux calculated by SSM

**BUT ...**



expected  
(no osc)

## Generalities on radiochemical experiments

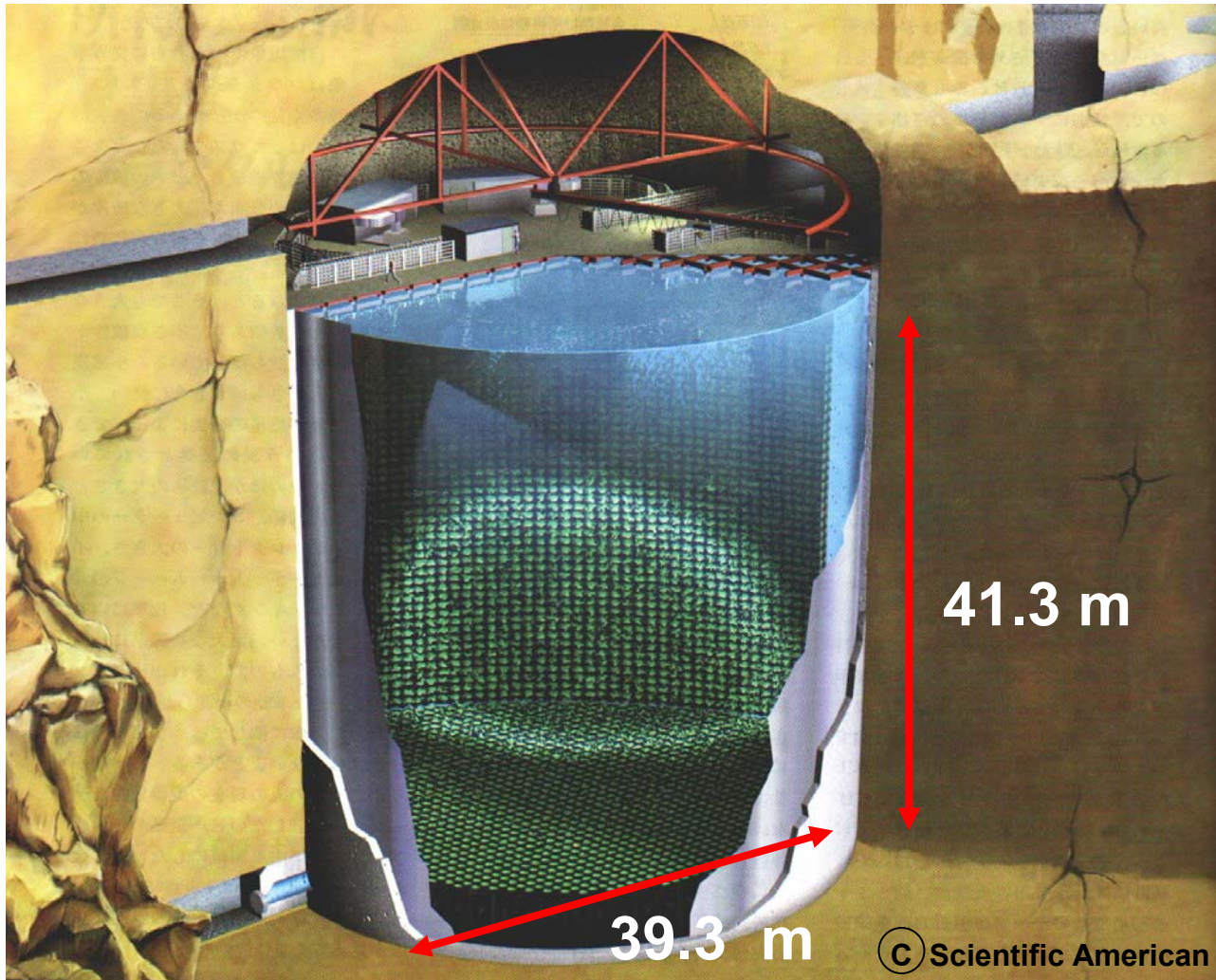
	Data used for R determination	N runs	Average efficiency	Hot chem check	Source calib	$R_{ex}$ [SNU]
Chlorine (Homestake Mine); South Dakota USA	1970-1993	106	0.958 ± 0.007	$^{36}\text{Cl}$	No	2.55 ± 0.17 ± 0.18 6.6% 7% <b>2.6 ± 0.3</b> <b>8.5±-1.8</b>
GALLEX/GNO LNGS Italy	1991-2003	124	??	$^{37}\text{As}$	Yes twice $^{51}\text{Cr}$ source	69.3 ± 4.1 ± 3.6 5.9% 5% <b>131±-11</b>
SAGE Baksan Kabardino Balkaria	1990-ongoing	104	??	No	Yes $^{51}\text{Cr}$ $^{37}\text{Ar}$	70.5 ± 4.8 ± 3.7 6.8% 5.2% <b>70.5 ± 6.0</b> <b>131±-11</b>





# Super-K detector

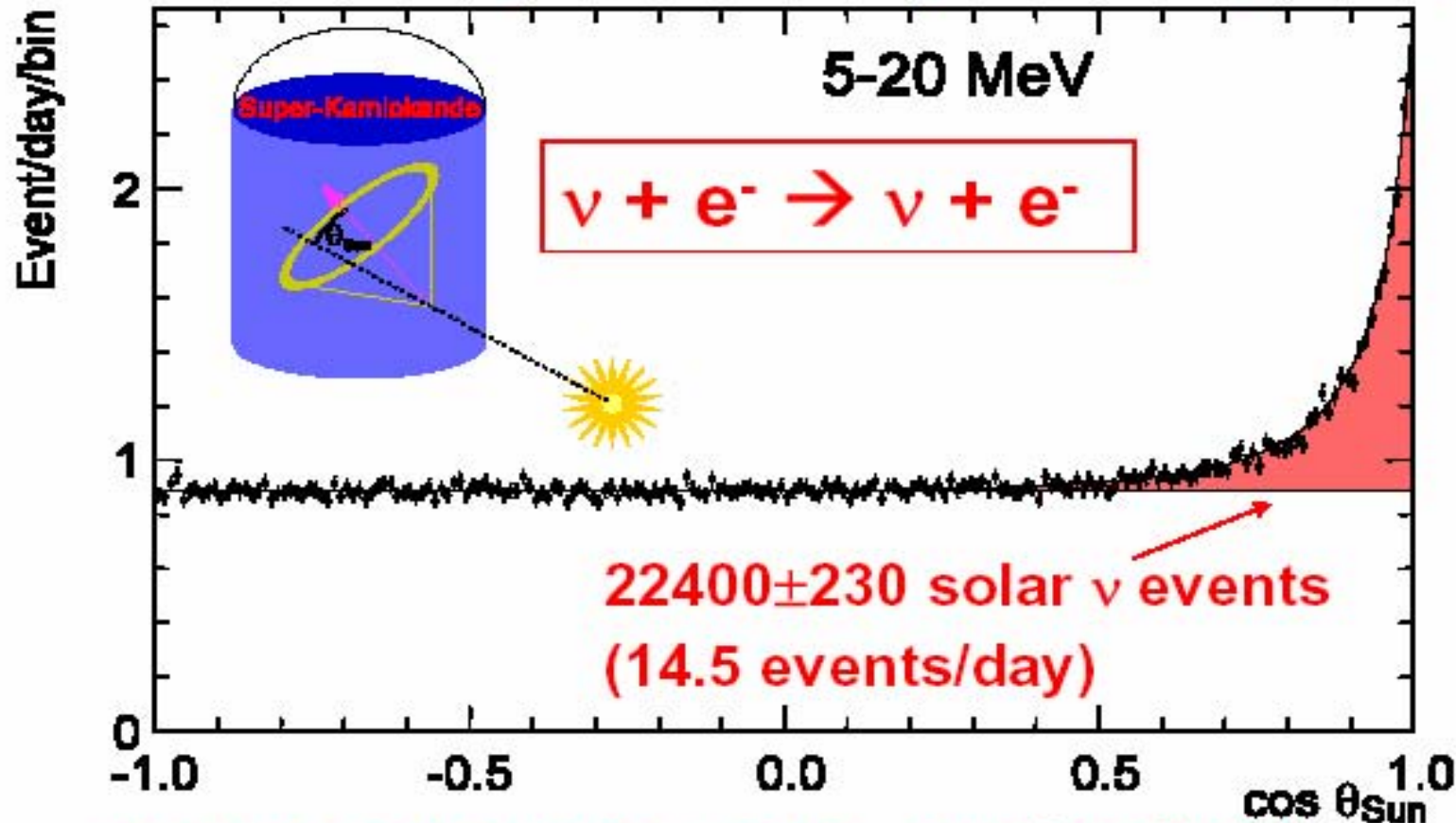
- ⌘ Water Cerenkov detector
- ⌘ 50000 tons of pure light water
- ⌘  $\approx 10000$  PMTs





# Super-Kamiokande-I solar neutrino data

May 31, 1996 – July 13, 2001 (1496 days)



$^8\text{B}$  flux :  $2.35 \pm 0.02 \pm 0.08$  [ $\times 10^6$  /cm<sup>2</sup>/sec]

$$\frac{\text{Data}}{\text{SSM(BP2004)}} = 0.406 \pm 0.004 \begin{matrix} +0.014 \\ -0.013 \end{matrix}$$

$$(\text{Data/SSM(BP2000)} = 0.465 \pm 0.005 \begin{matrix} +0.016 \\ -0.015 \end{matrix})$$

# Missing Solar Neutrinos

Only fraction of the expected flux is measured !

Possible explanations:

wrong SSM

NO. Helio-seismology

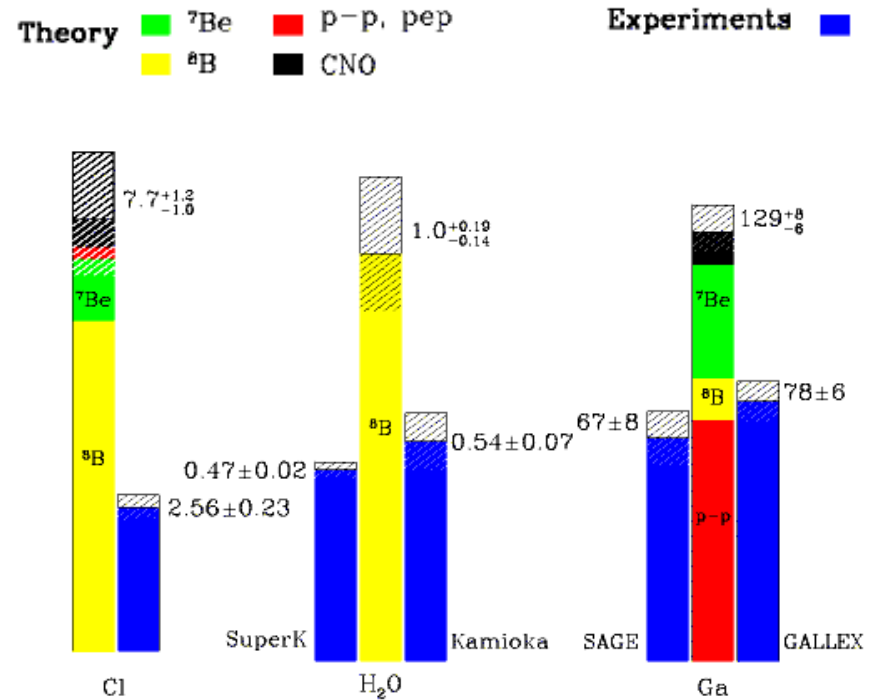
wrong experiments

NO. Agreement between different techniques

or

$\nu_e$ 's go into something else

Oscillations?



Total Rates: Standard Model vs. Experiment  
Bahcall-Pinsonneault 98



## neutrino definitions

the **electron** neutrino is present in association with an **electron** (e.g. beta decay)

the **muon** neutrino is present in association with a **muon** (pion decay)

the **tau** neutrino is present in association with a **tau** ( $W \rightarrow \tau \nu$  decay)

these **flavor-neutrinos** are not (as we know now) quantum states of well defined **mass** (neutrino mixing)

the **mass-neutrino** with the highest **electron** neutrino content is called  $\nu_1$

the **mass-neutrino** with the next-to-highest **electron** neutrino content is  $\nu_2$

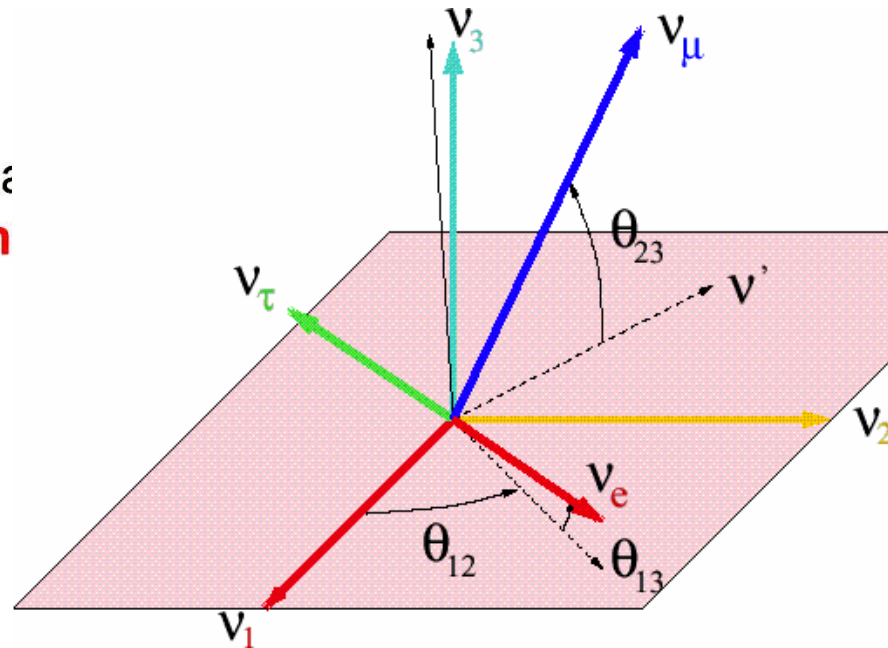
the **mass-neutrino** with the smallest **electron** neutrino content is called  $\nu_3$



# Lepton Sector Mixing

★ If neutrinos are mass eigenstates the same:

the same:

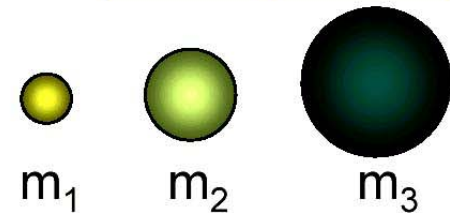


Weak eigenstates  
„flavor eigenstates“



3 independent parameters  
+ 1 complex phase

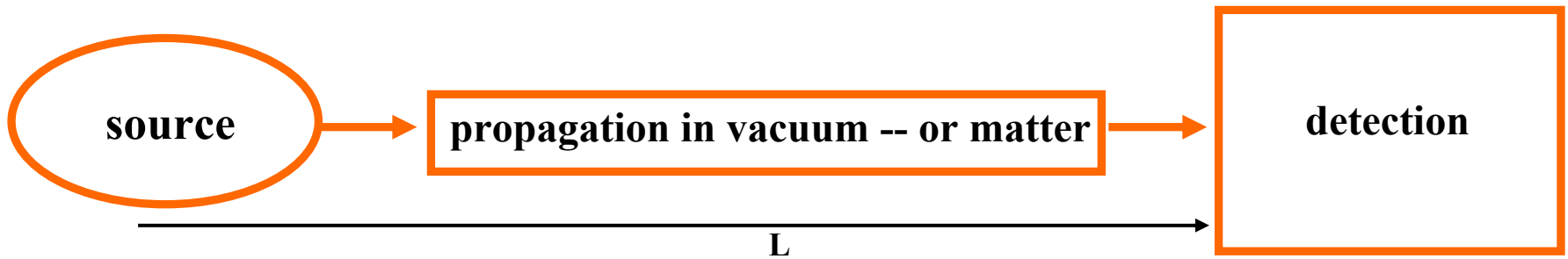
Mass eigenstates



Pontecorvo 1957



# Neutrino Oscillations (Quantum Mechanics lesson 5)



weak interaction  
produces  
'flavour' neutrinos

e.g. pion decay  $\pi \rightarrow \mu\nu$

$$|\nu_\mu\rangle = \alpha |\nu_1\rangle + \beta |\nu_2\rangle + \gamma |\nu_3\rangle$$

Energy (i.e. mass) eigenstates  
propagate

$$|\nu(t)\rangle = \alpha |\nu_1\rangle \exp(i E_1 t) + \beta |\nu_2\rangle \exp(i E_2 t) + \gamma |\nu_3\rangle \exp(i E_3 t)$$

$t = \text{proper time} \propto L/E$

$\alpha$  is noted  $U_{1\mu}$

$\beta$  is noted  $U_{2\mu}$

$\gamma$  is noted  $U_{3\mu}$  etc....

weak interaction: (CC)

$$\nu_\mu N \rightarrow \mu^- X$$

or  $\nu_e N \rightarrow e^- X$

or  $\nu_\tau N \rightarrow \tau^- X$

$$P(\mu \rightarrow e) = |\langle \nu_e | \nu(t) \rangle|^2$$



## Oscillation Probability

★ The case with two neutrinos:

→ A mixing angle:  $\theta$

→ A mass difference:

$$\Delta m^2 = m_2^2 - m_1^2$$

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

★ The oscillation probability is:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left( 1.27 \Delta m^2 \frac{L}{E} \right)$$

where  $L$  = distance between source and detector  
 $E$  = neutrino energy

*Hamiltonian =  $E = \text{sqrt}(p^2 + m^2) = p + m^2 / 2p$*

*for a given momentum, eigenstate of propagation in free space are the mass eigenstates!*

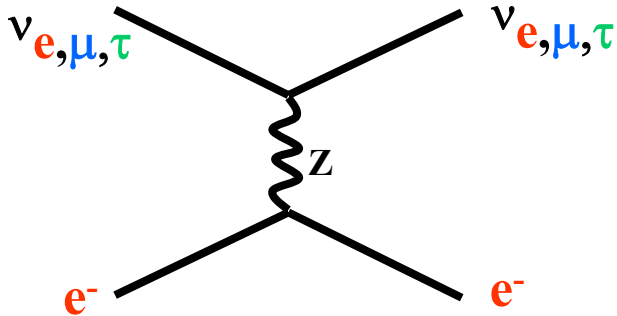




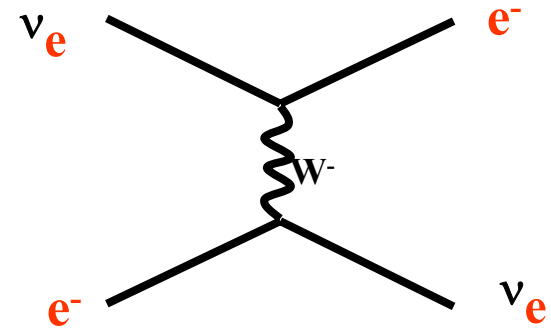
To complicate things further:

**matter effects**

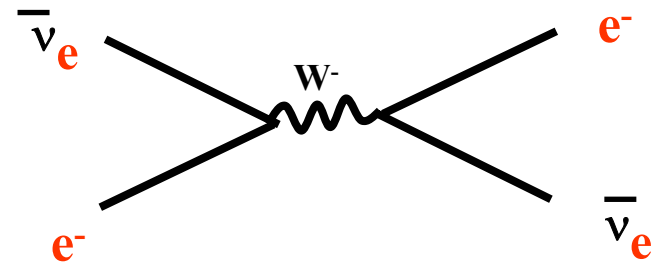
elastic scattering of (anti) neutrinos on electrons



all neutrinos and anti neutrinos do this equally



only electron neutrinos



only electron anti- neutrinos

These processes add a forward amplitude to the Hamiltonian, which is proportional to the number of electrons encountered to the Fermi constant and to the neutrino energy.

The Z exchange is diagonal in the 3-neutrino space

this does not change the eigenstates

The W exchange is only there for electron neutrinos

It has opposite sign for neutrinos and anti-neutrinos (s vs t-channel exchange)

$$D = \pm 2\sqrt{2} G_F n_e E_\nu$$

**THIS GENERATES A FALSE CP VIOLATION**



$$D = \pm 2\sqrt{2} G_F n_e E_\nu$$

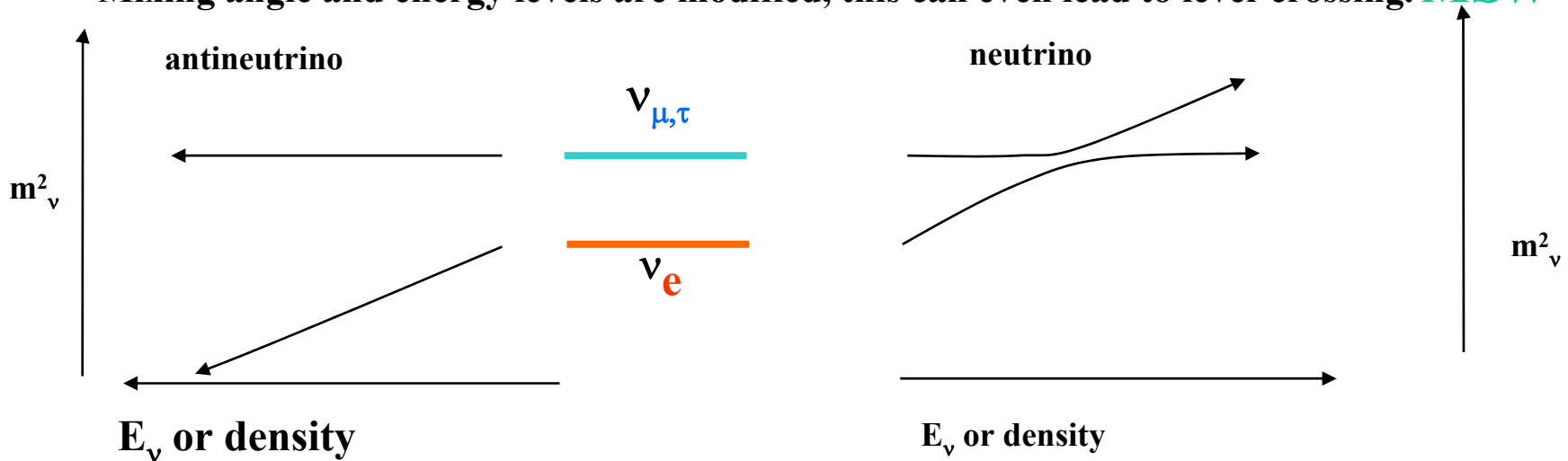
$$H_{\text{flavour base}} = U \begin{pmatrix} m_1^2 & 0 & 0 \\ 0 & m_2^2 & 0 \\ 0 & 0 & m_3^2 \end{pmatrix} U^\dagger + \begin{pmatrix} D & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

This is how YOU can solve this problem: write the matrix, diagonalize, and evolve using,

$$i \frac{\partial \psi}{\partial t} = H \psi$$

This has the effect of modifying the eigenstates of propagation!

Mixing angle and energy levels are modified, this can even lead to level-crossing. *MSW effect*



oscillation is further suppressed

resonance... enhances oscillation

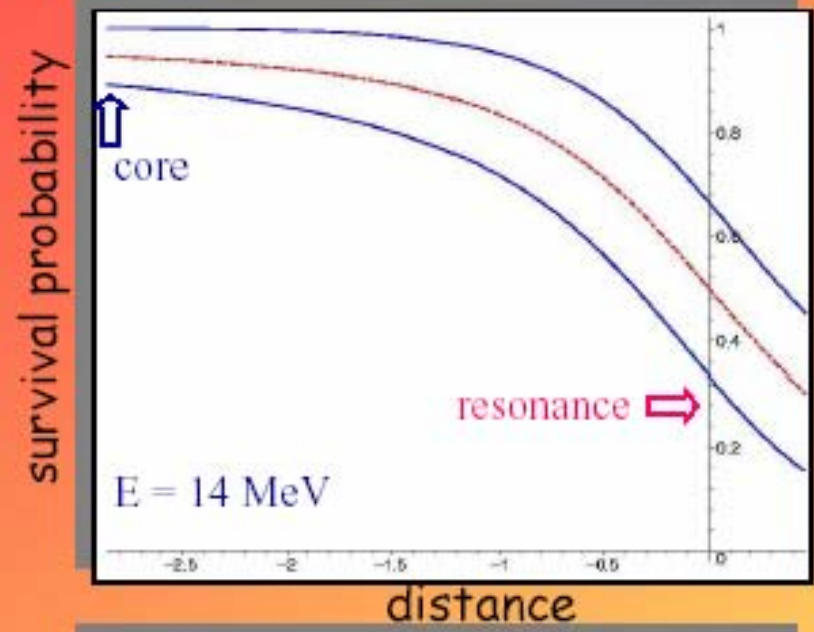
oscillation is enhanced for neutrinos if  $\Delta m_{1x}^2 > 0$ , and suppressed for antineutrinos

oscillation is enhanced for antineutrinos if  $\Delta m_{1x}^2 < 0$ , and suppressed for neutrinos

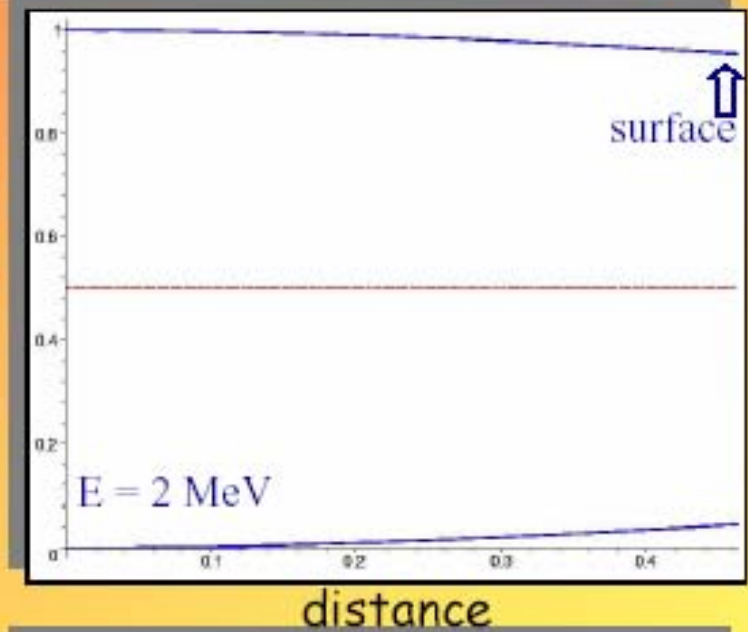
since **T** asymmetry uses neutrinos it is not affected



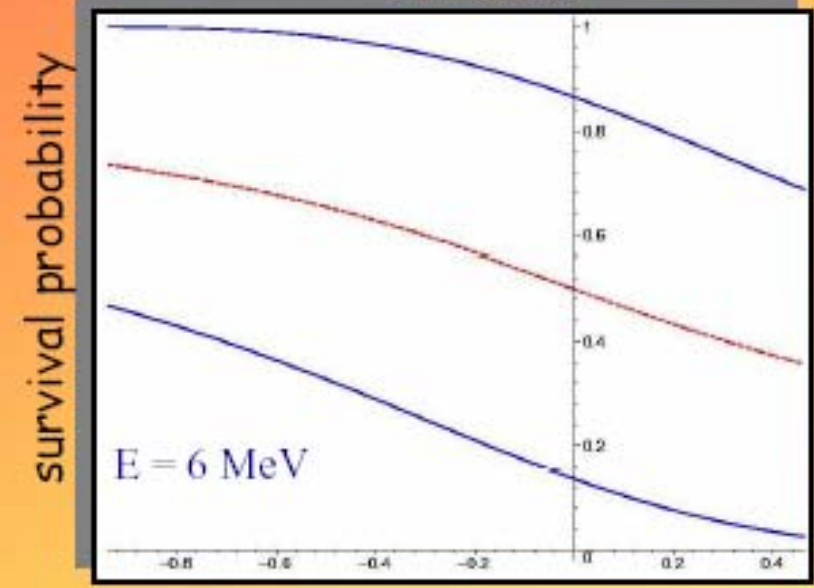
# MSW conversion inside the Sun



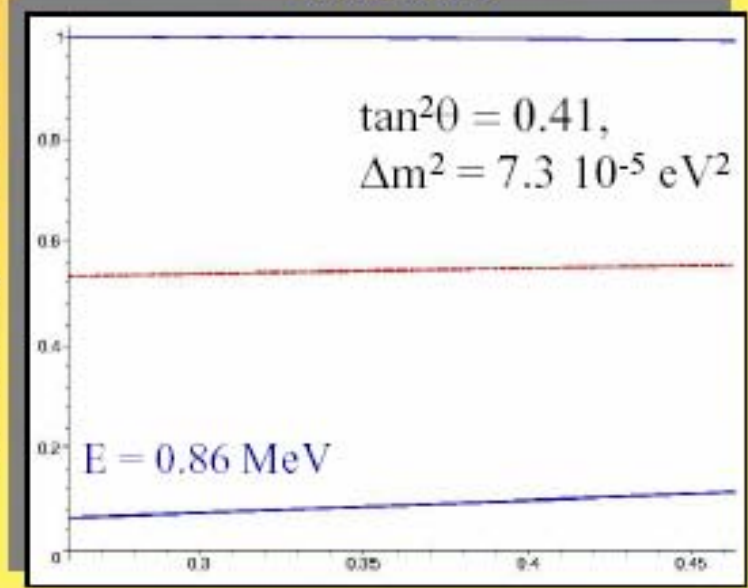
y



y



y



y

# Solar Models *R* previsions for Radiochemical experiments

*from LUNA experiment on  $^{14}\text{N}(p, \gamma)^{15}\text{O}$   
New  $S_0(^{14}\text{N}+p) = 1.77 \text{ keV} \pm 0.2$*

Flux ( $\text{cm}^{-2}\text{s}^{-1}$ )	BP00	BP04	BP04 + N14	BP04+ + N14	$P_{ee}$ $\Delta m^2 = 7.1 \times 10^{-5}$ $\text{eV}^2$ $\theta_{12} = 32.5$
pp ( $10^9$ )	59.5 ( $\pm 1\%$ )	5.94 ( $\pm 1\%$ )	59.8	60.3	0.578 (vac)
pep ( $10^8$ )	1.40 ( $\pm 2\%$ )	1.40 ( $\pm 2\%$ )	1.42	1.44	0.531(vac)
hep ( $10^3$ )	9.24	7.88 ( $\pm 16\%$ )	7.93	8.09	$\sim 0.3$ matter
$^7\text{Be}$ ( $10^9$ )	4.77 ( $\pm 10\%$ )	4.86 ( $\pm 12\%$ )	4.86	4.65	0.557 vac
$^8\text{B}$ ( $10^6$ )	5.05 $^{+20\%}_{-16\%}$	5.79 ( $\pm 23\%$ )	5.77	5.24	0.324 matter
$^{13}\text{N}$ ( $10^8$ )	5.48 $^{+21\%}_{-17\%}$	5.71	3.23 $^{+37\%}_{-35\%}$	2.30	0.557 vac
$^{15}\text{O}$ ( $10^8$ )	4.80 $^{+25\%}_{-19\%}$	5.03	2.54 $^{+43\%}_{-39\%}$	1.79	0.541 vac
$^{17}\text{F}$ ( $10^6$ )	5.63 $^{+25\%}_{-25\%}$	5.91	5.85 $^{+44\%}_{-110\%}$	3.93	

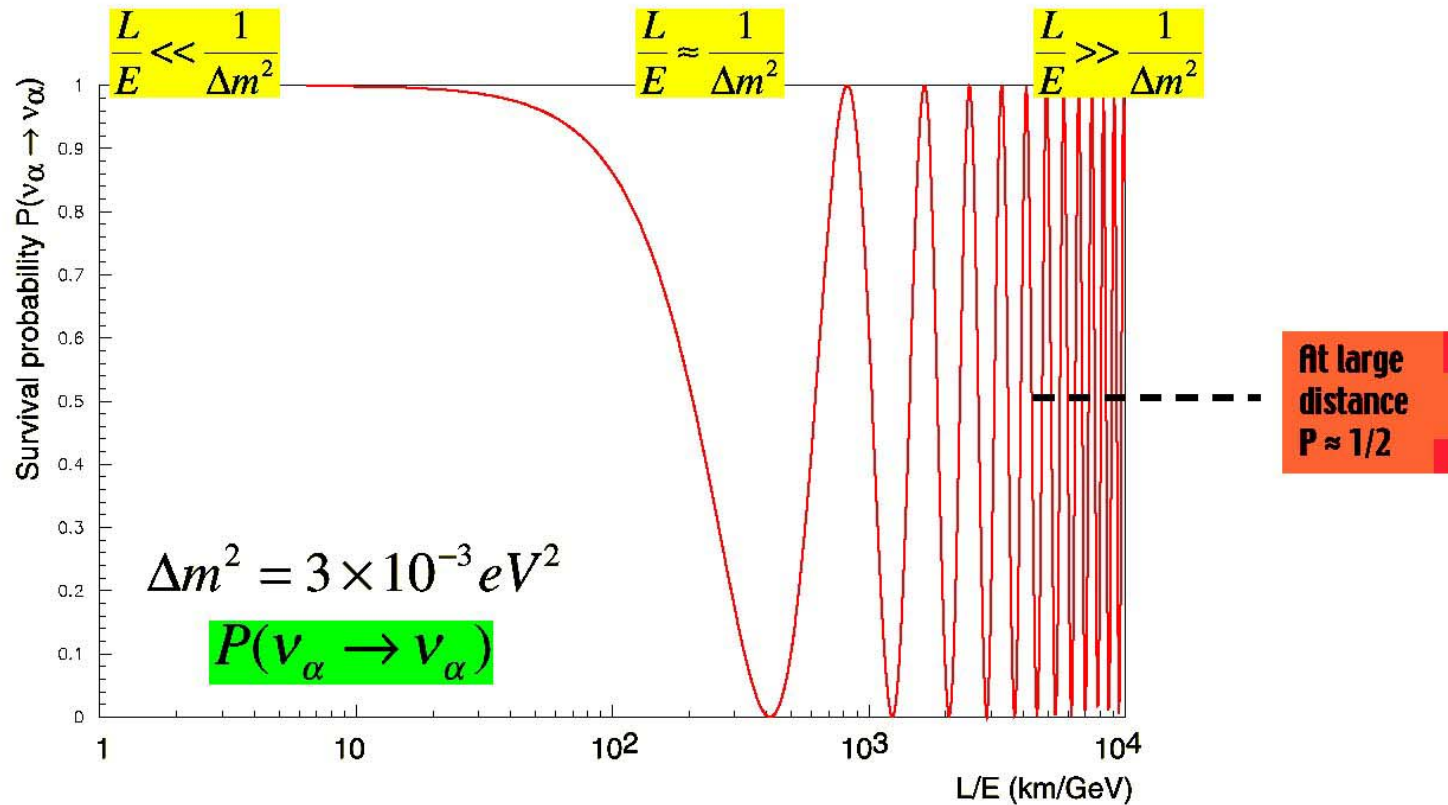
*increased accuracy in  $^7\text{Be}(p, \gamma)^8\text{B}$  measurement*

*Columns 2,3,4 from BP04*

Alain Blondel

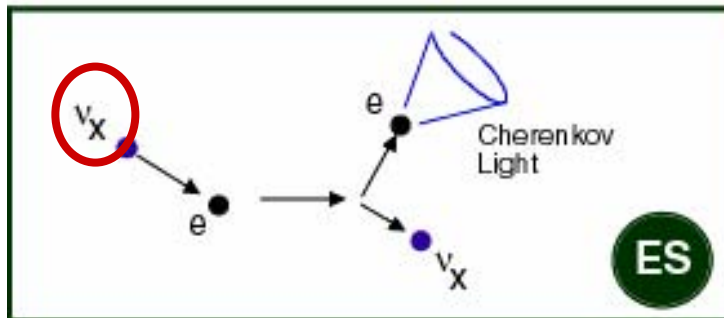
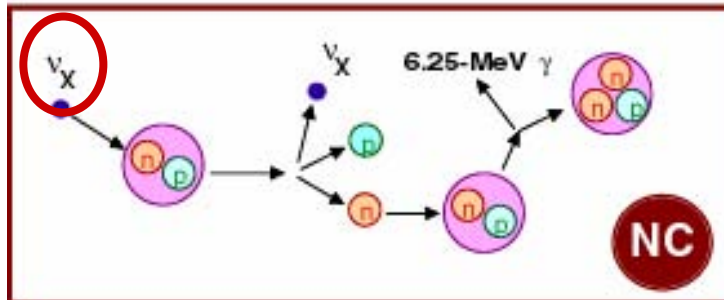
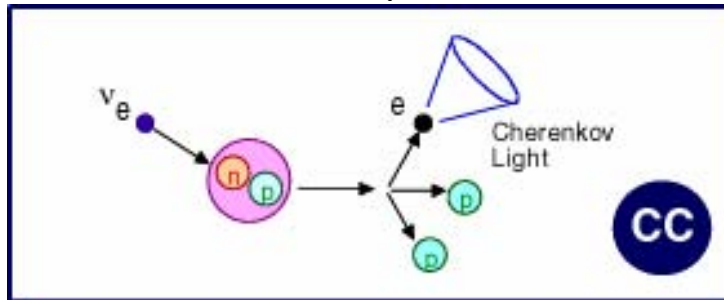


# Oscillation Phenomena



# SNO detector

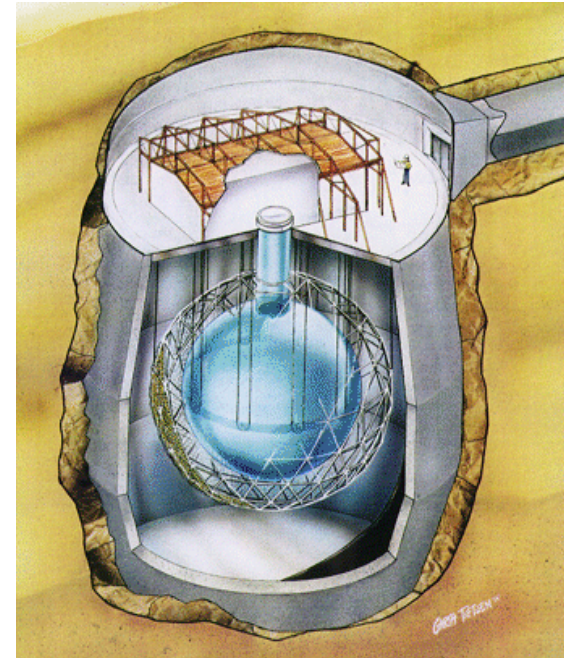
- ⌘ Aim: measuring non  $\nu_e$  neutrinos in a pure solar  $\nu_e$  beam
- ⌘ How? Three possible neutrino reaction in heavy water:



only  $\nu_e$

equally  
 $\nu_e + \nu_\mu + \nu_\tau$

in-unequally  
 $\nu_e + 0.1 (\nu_\mu + \nu_\tau)$



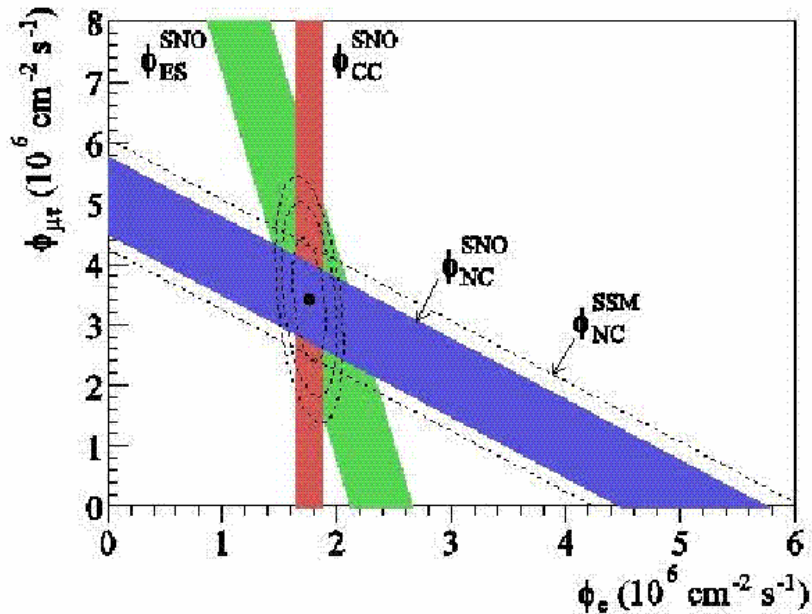
- ⌘ 1000 ton of  $D_2O$
- ⌘ 12 m diam.
- ⌘ 9456 PMTs





# Physics Implication Flavor Content

$$\Phi_{\text{SSM}} = 5.05^{+1.01}_{-0.81} \quad \Phi_{\text{SNO}} = 5.09^{+0.44+0.46}_{-0.43 -0.43}$$



**Strong evidence of flavor change**

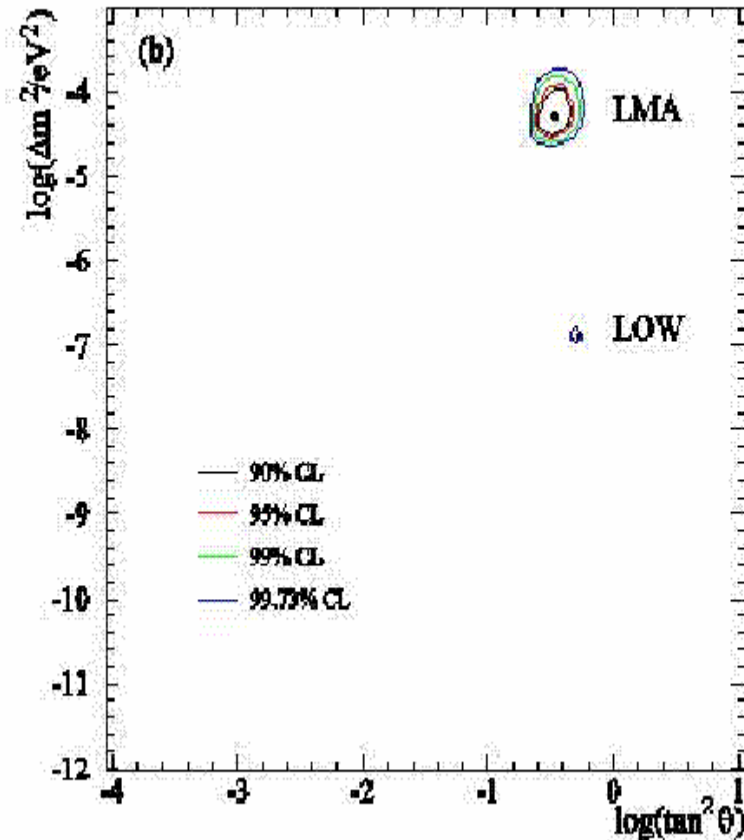
**Charged current events are depleted** (reaction involving electron neutrinos)

**Neutral current reaction agrees with Solar Model** (flavour blind)

**SSM is right, neutrinos oscillate!**

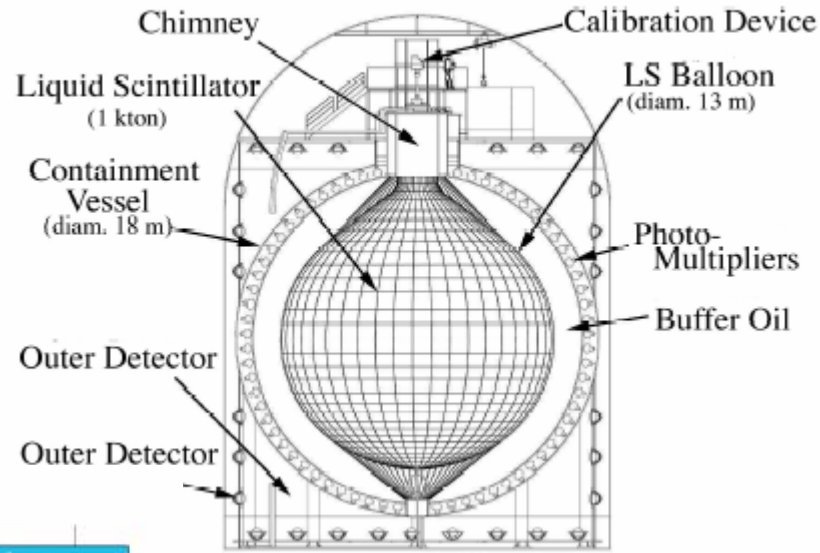
Alain Blondel

# Combining All Experimental and Solar Model information





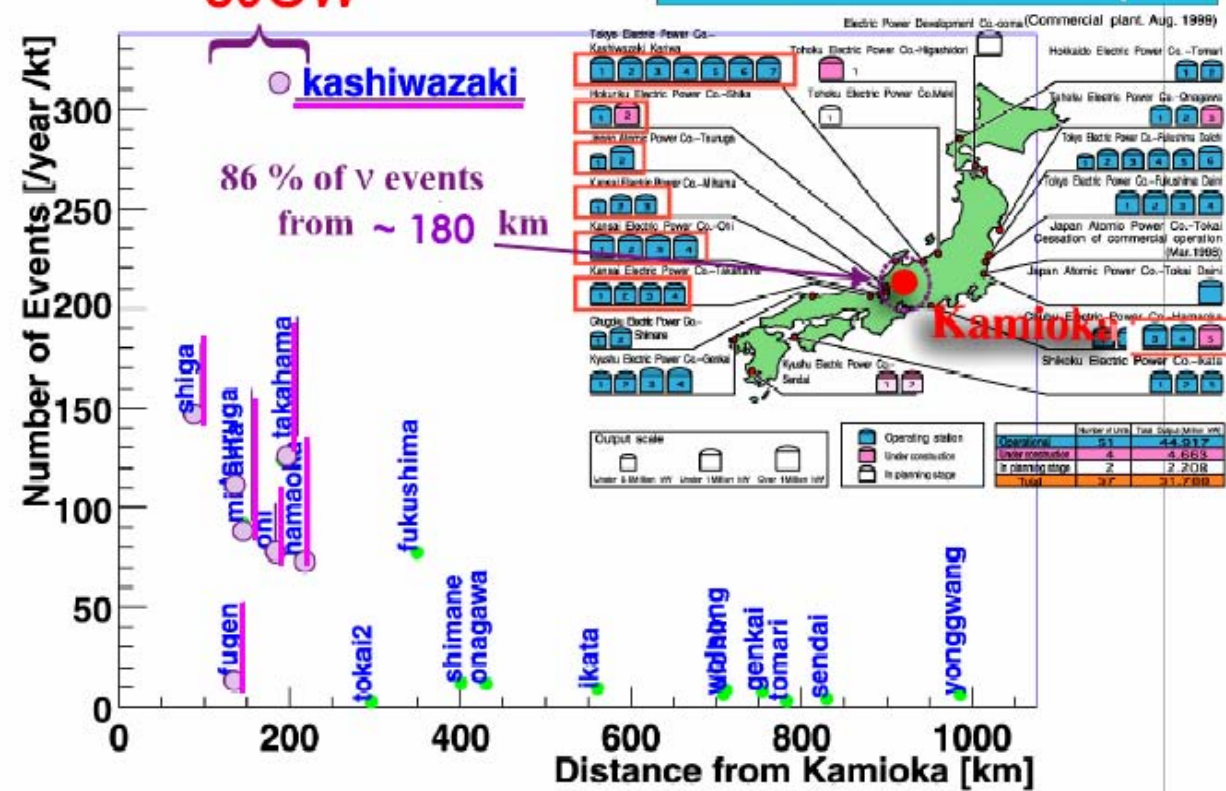
# Kamland 2002



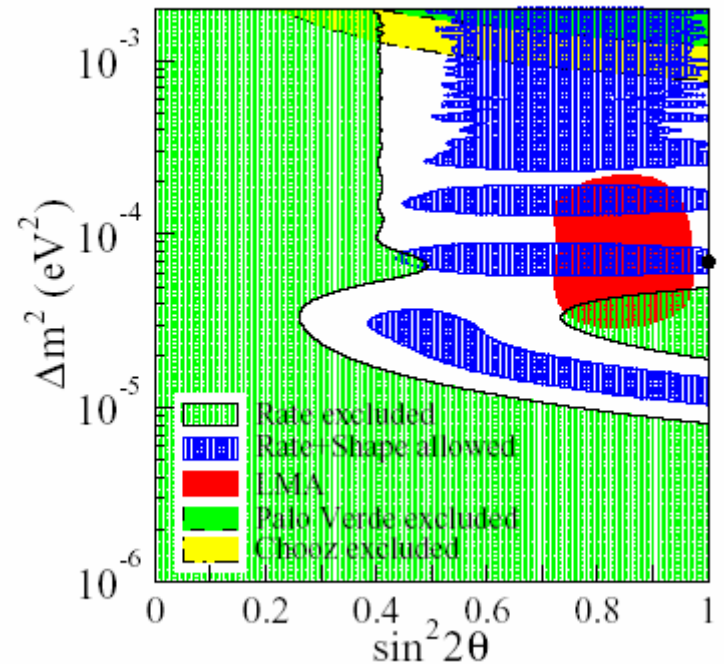
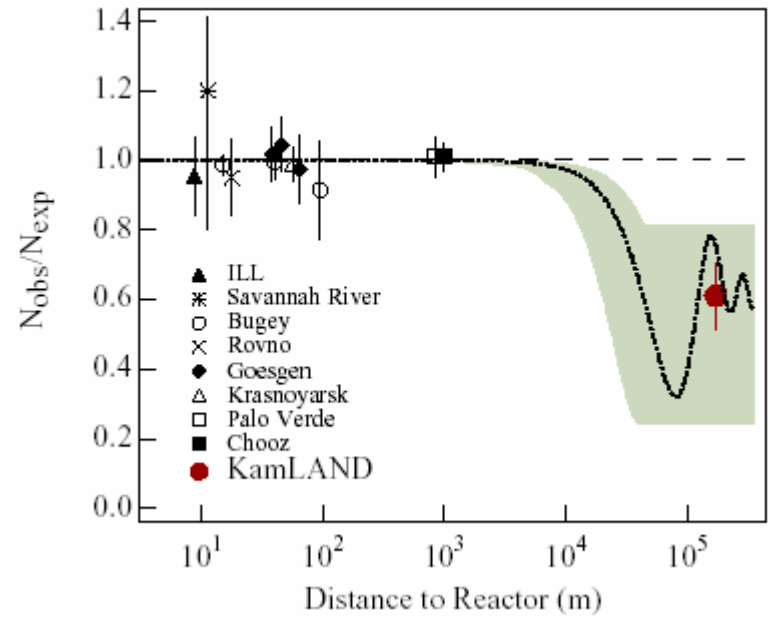
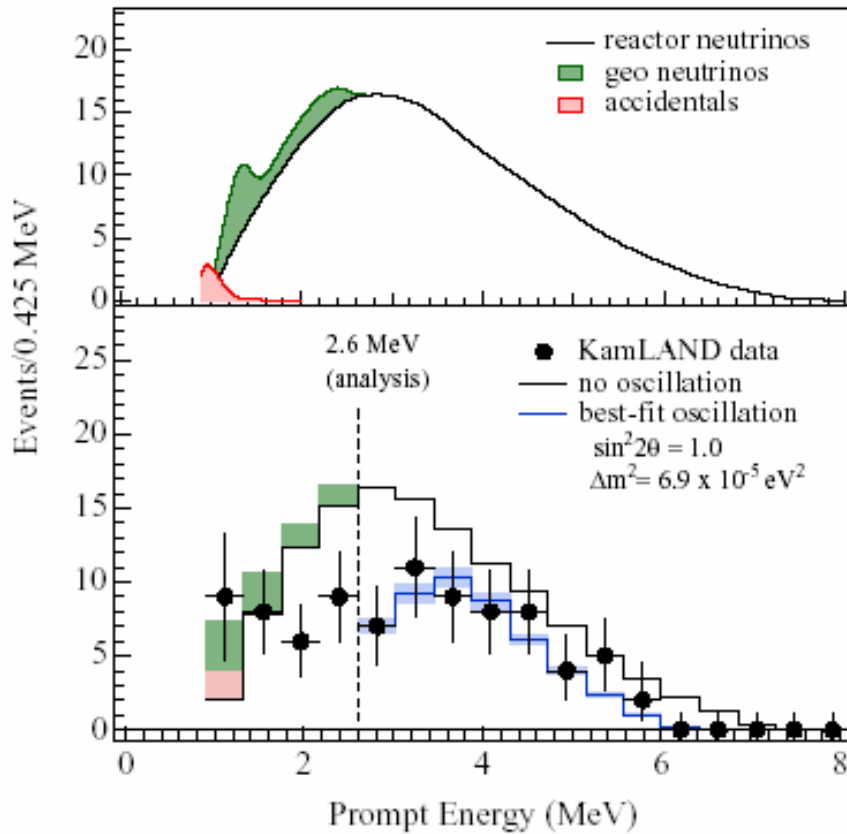
20 % of world nuclear power

~80GW

## Nuclear Power Stations in Japan

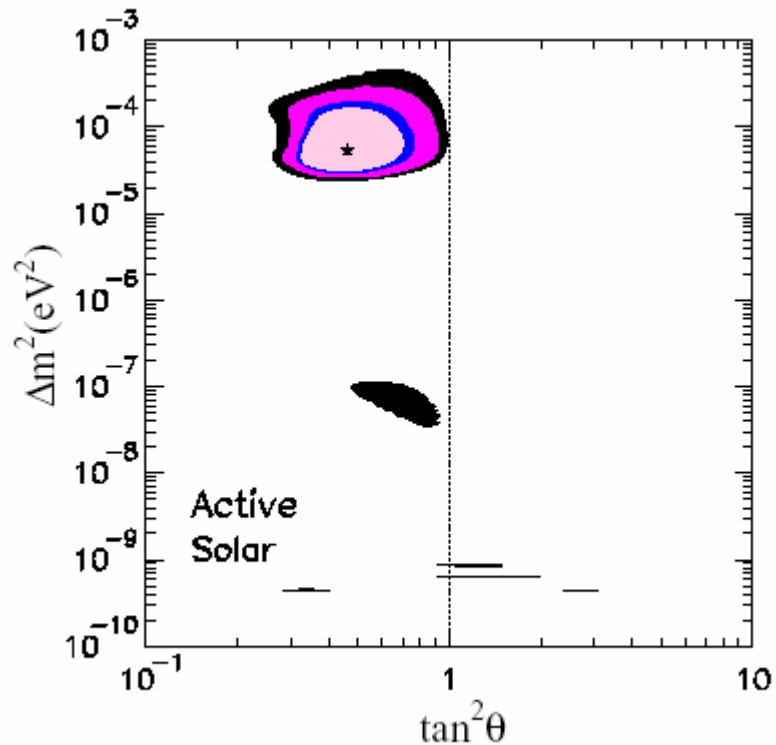


**KamLAND: disappearance of antineutrinos from reactor**  
**(few MeV at ~100 km)**

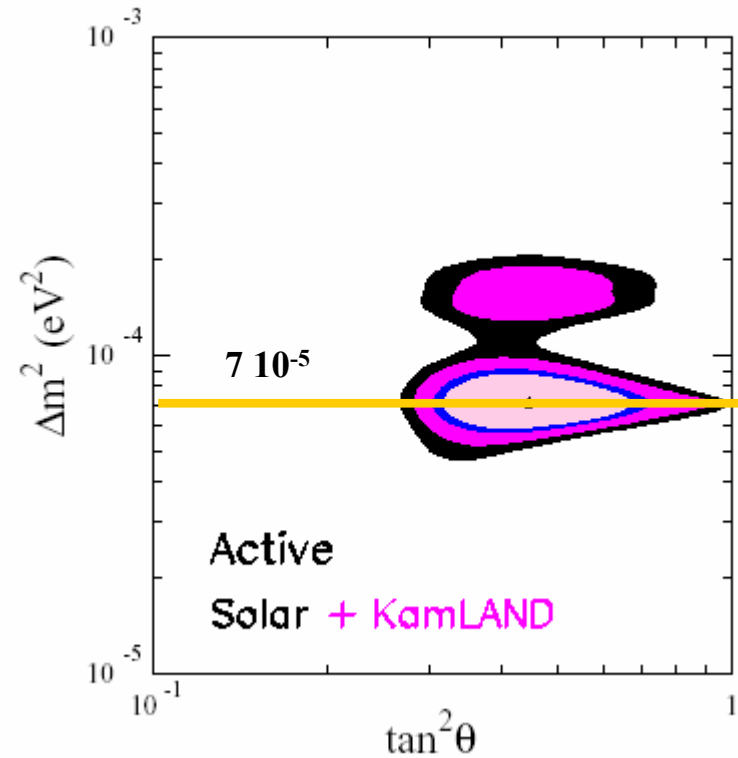


# Prerequisite for CP violation in neutrinos: Solar LMA solution

Before KamLAND



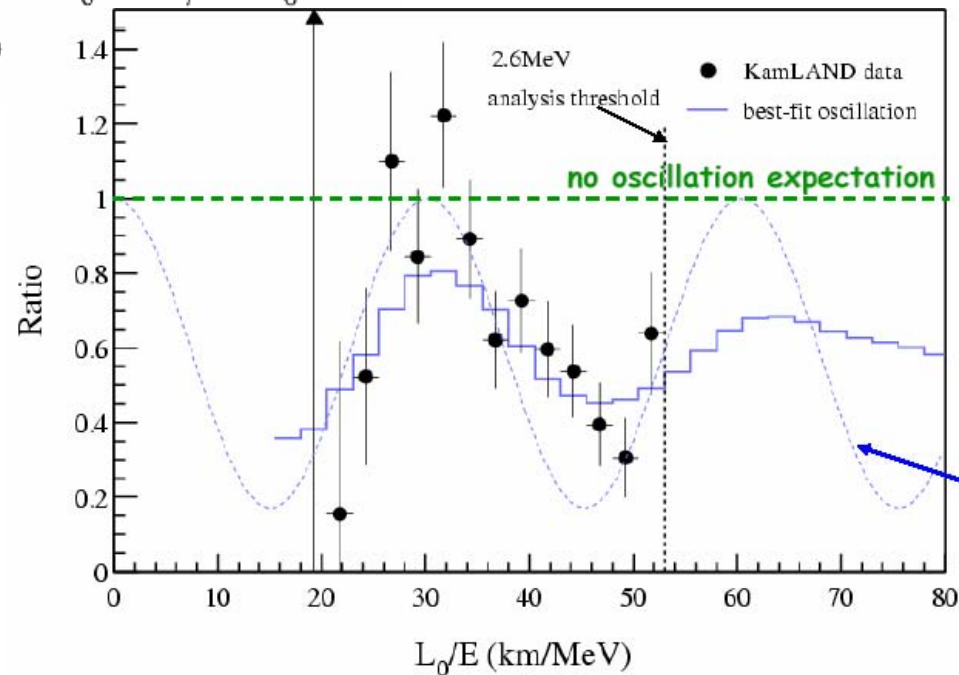
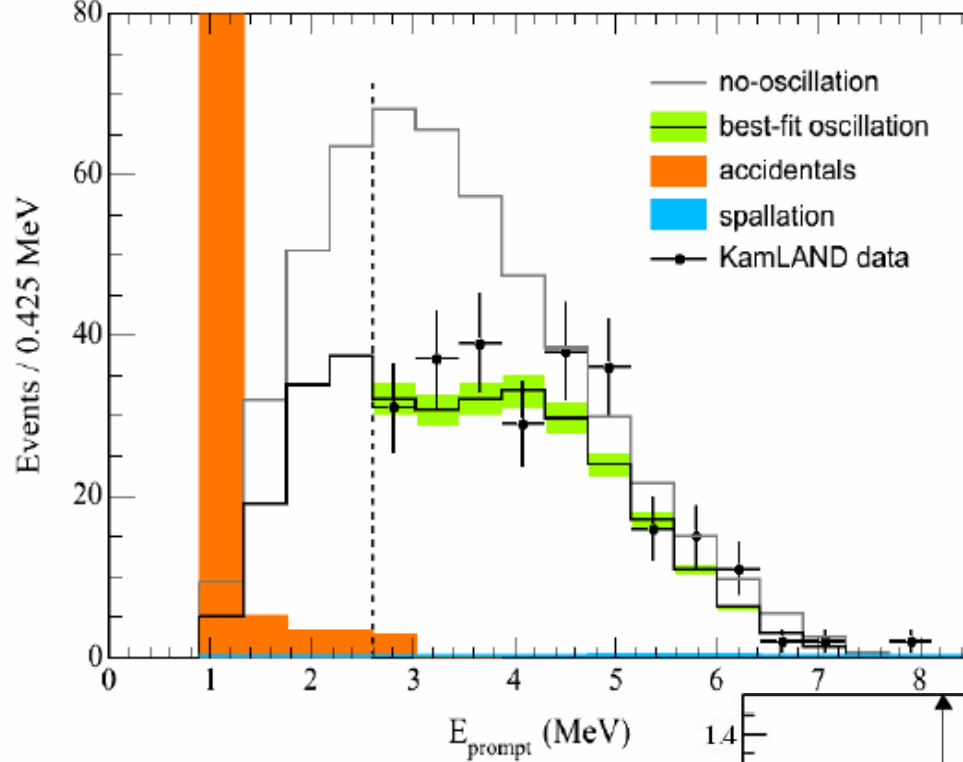
After KamLAND



This will be confirmed and  $\Delta m^2_{12}$  measured precisely by KAMLAND and maybe Borexino in next 2-4 yrs



# Kamland 2004

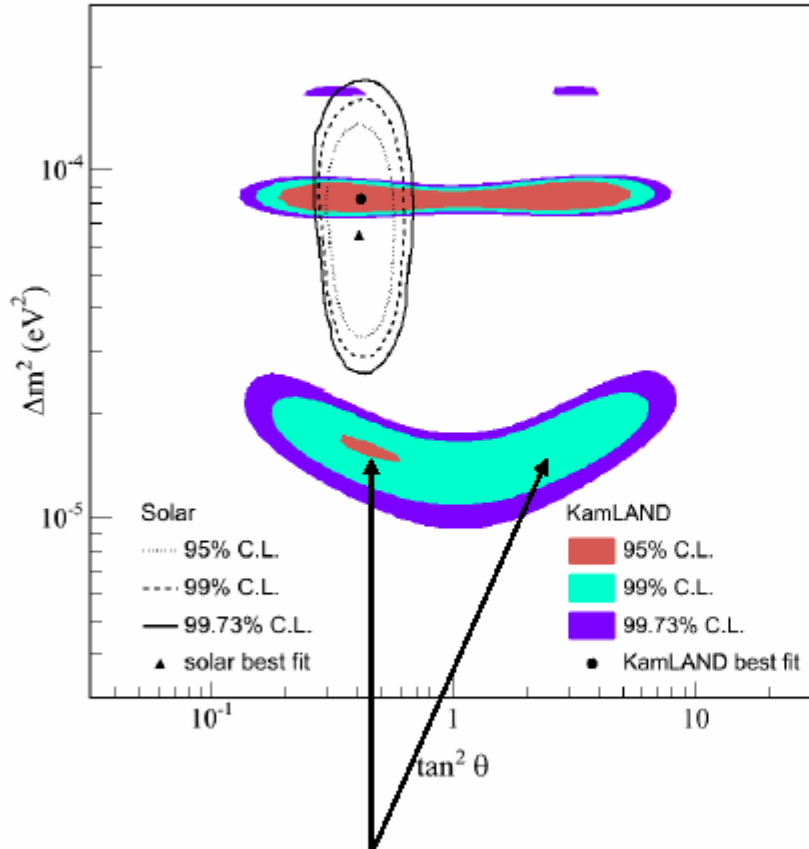


Hypothetical  
single 180km  
baseline  
experiment

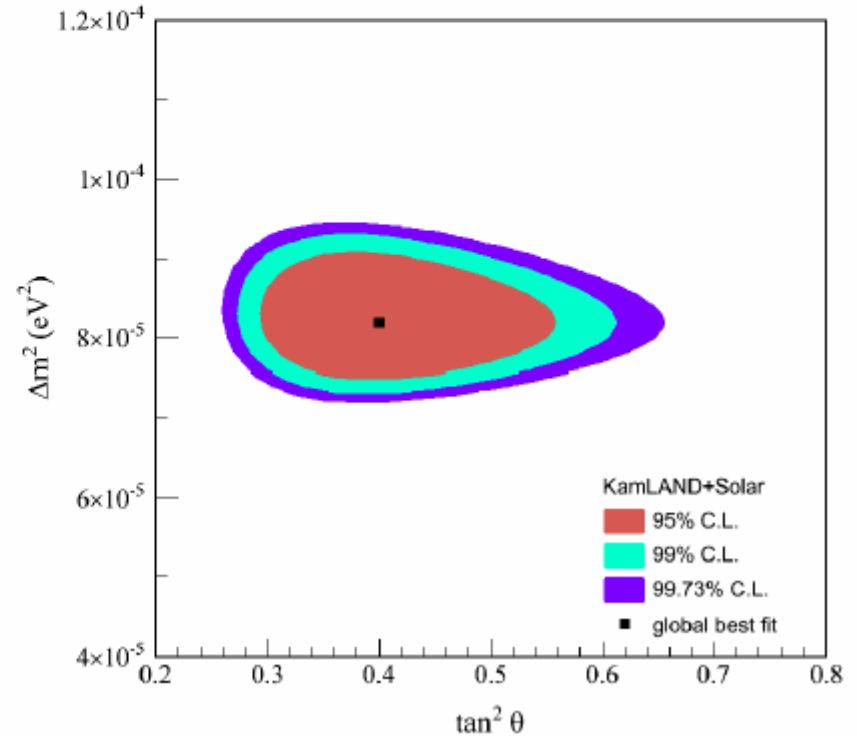
# Kamland 2004

$$\Delta m_{12}^2 = 8.2^{+0.6}_{-0.5} \times 10^{-5} \text{ eV}^2$$

$$\tan^2 \theta_{12} = 0.40^{+0.09}_{-0.07}$$



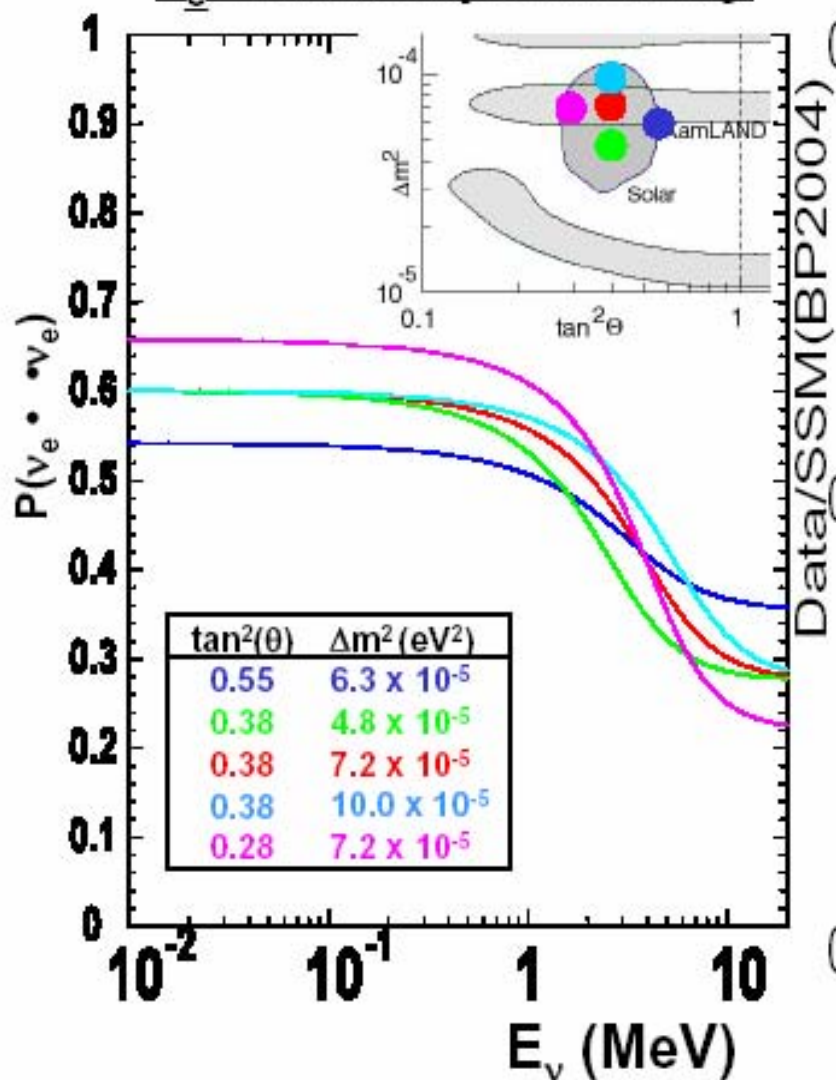
*Includes (small) matter effects*



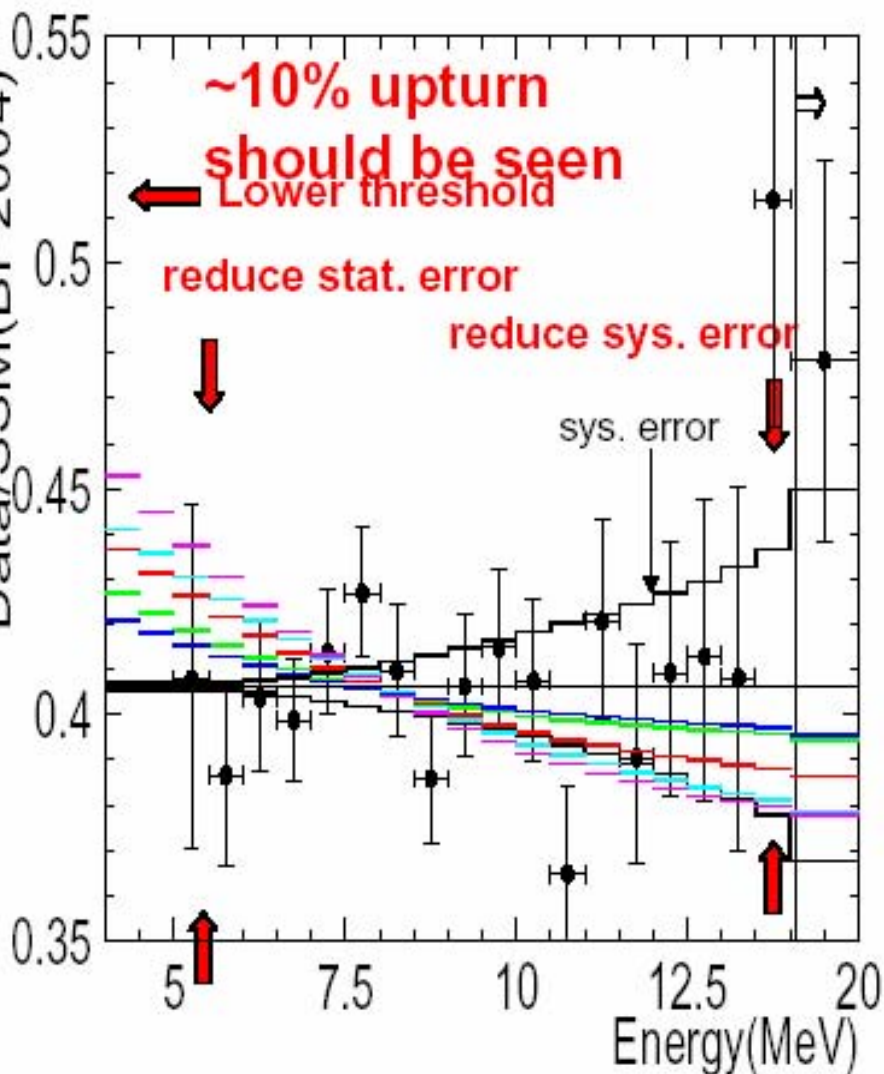
# Future prospects towards SK-III

## Possibility of detecting spectrum distortion

### $\nu_e$ survival probability



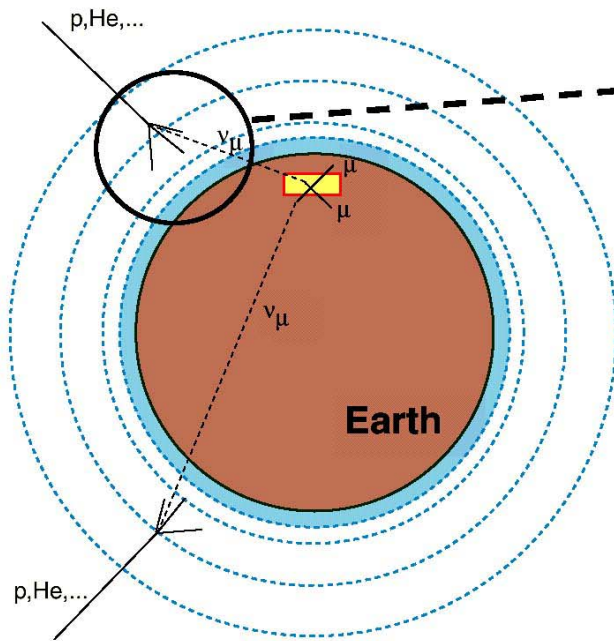
### Recoil electron spectrum



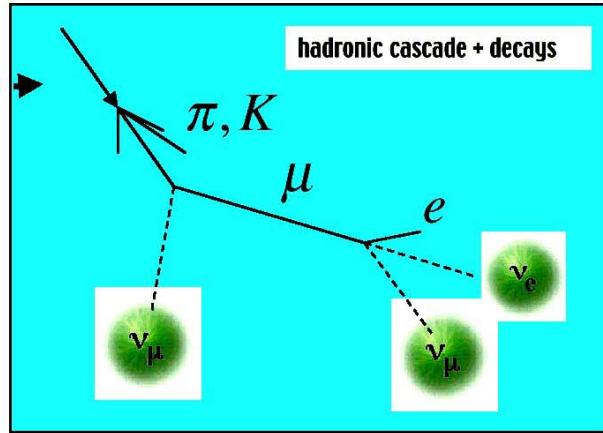


# Atmospheric Neutrinos

Path length from ~20km to 12700 km



almost isotropic source  
(geomagnetic effects)



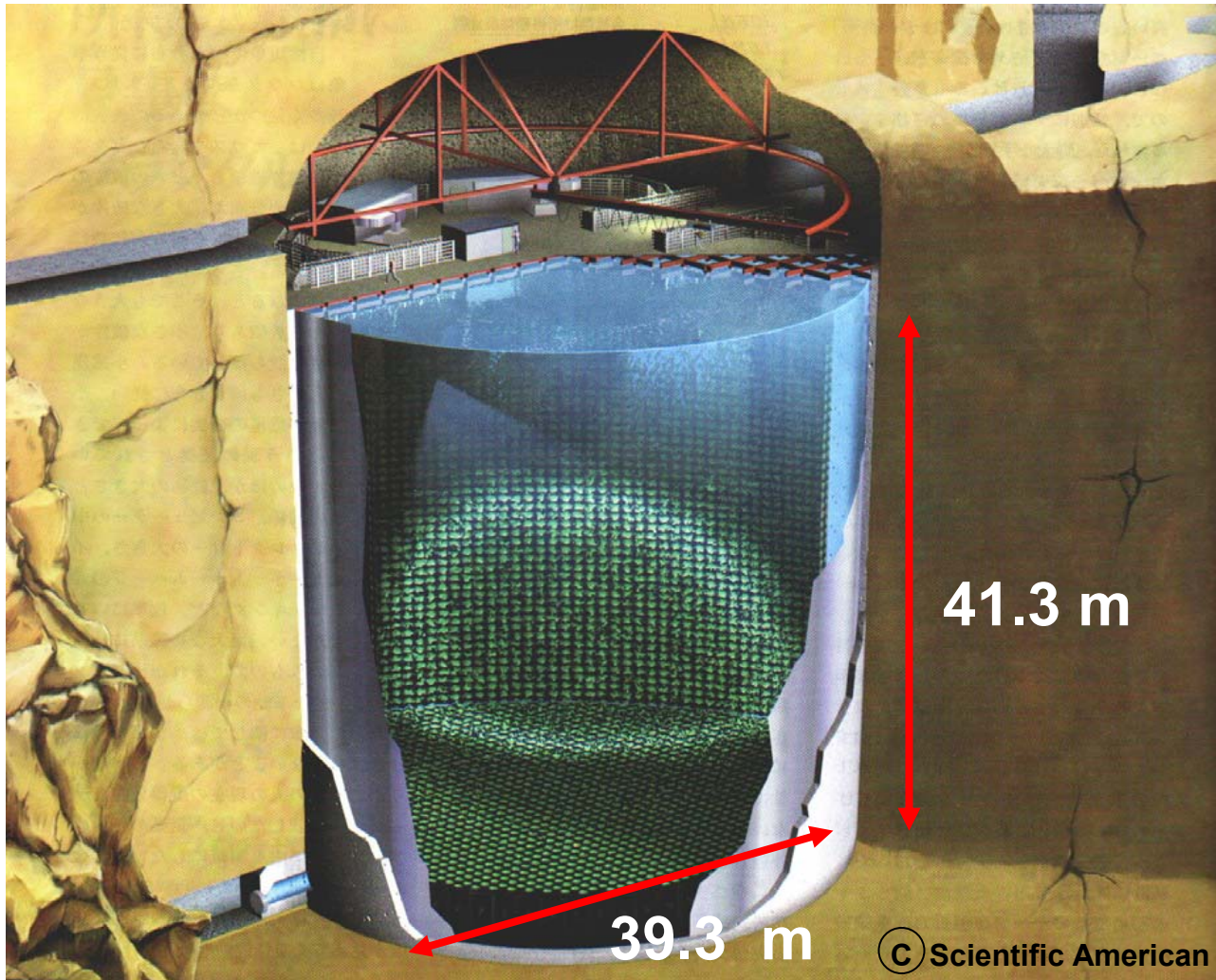
$$R = \frac{\nu_\mu + \bar{\nu}_\mu}{\nu_e + \bar{\nu}_e} \approx 2$$

Predicted ratio of muon to  
electron neutrinos



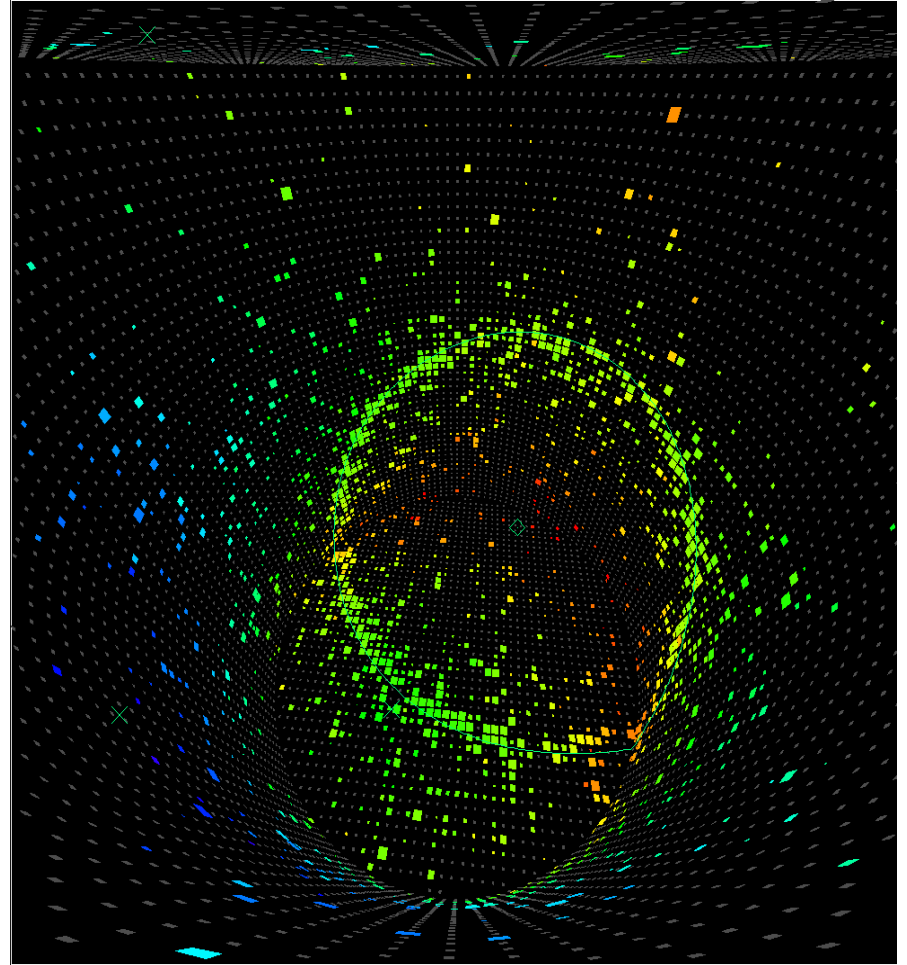
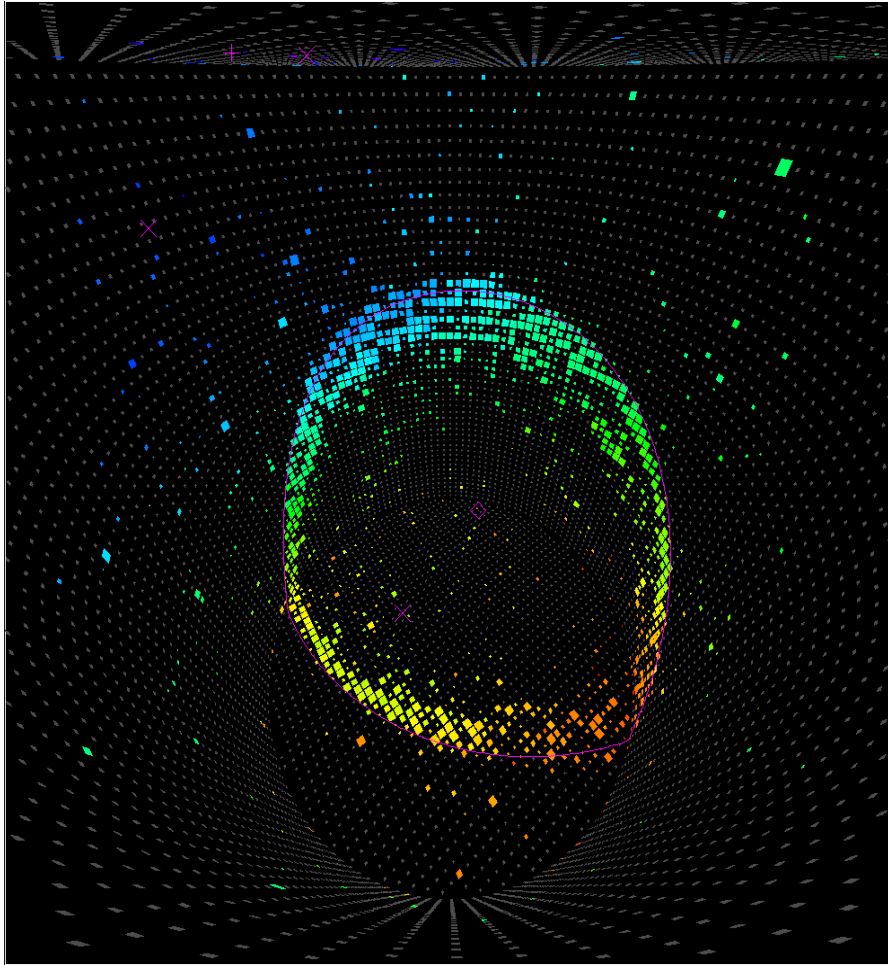
# Super-K detector

- ⌘ Water Cerenkov detector
- ⌘ 50000 tons of pure light water
- ⌘  $\approx 10000$  PMTs



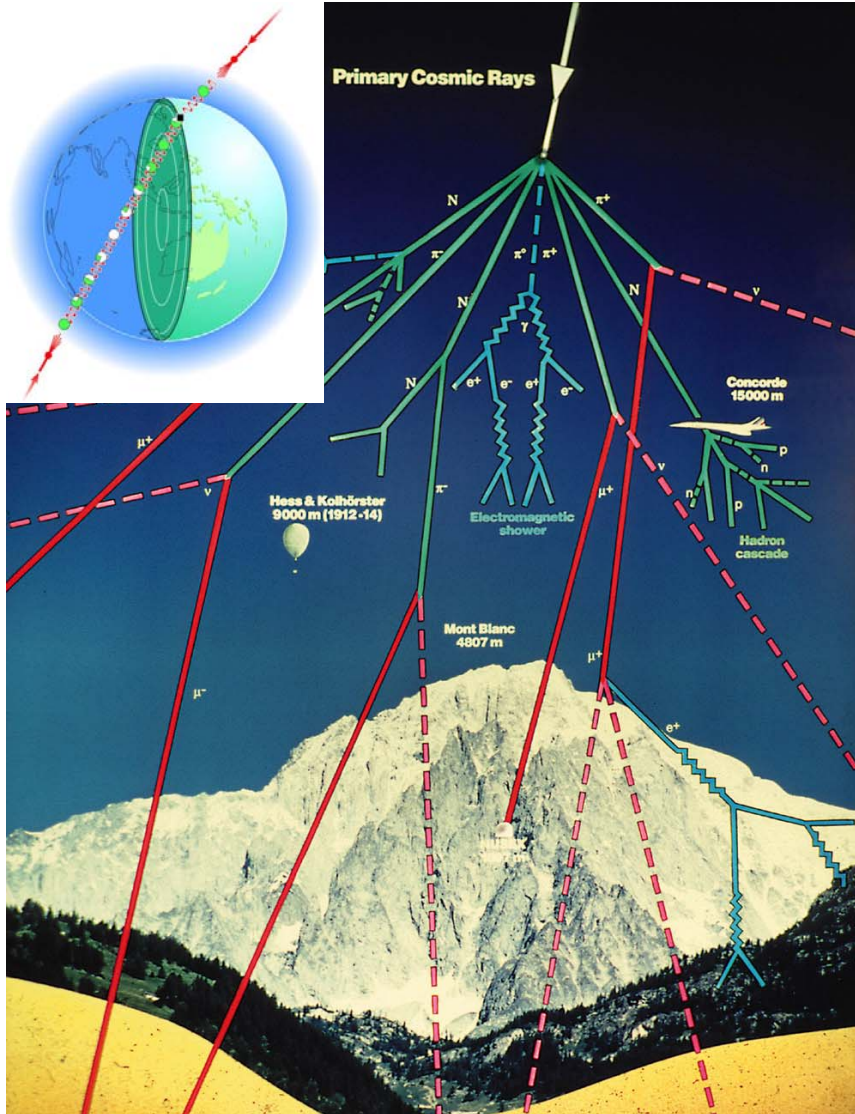
# $\mu/e$ Background Rejection

**e/mu separation directly related to granularity of coverage.  
Limit is around  $10^{-3}$  (mu decay in flight) SKII coverage OKOK, less maybe possible**





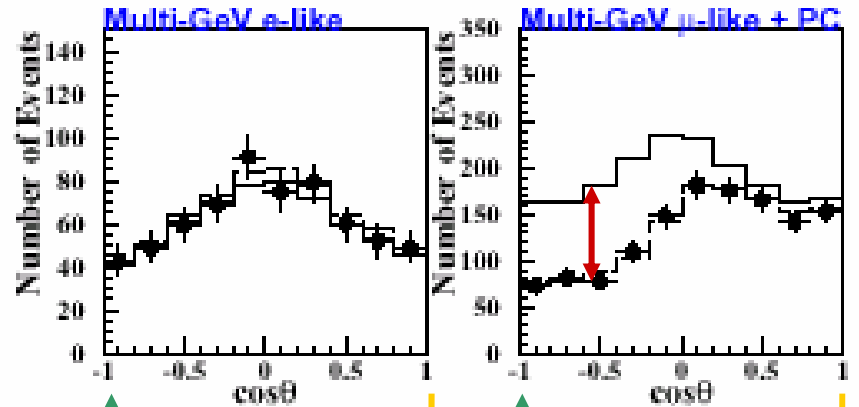
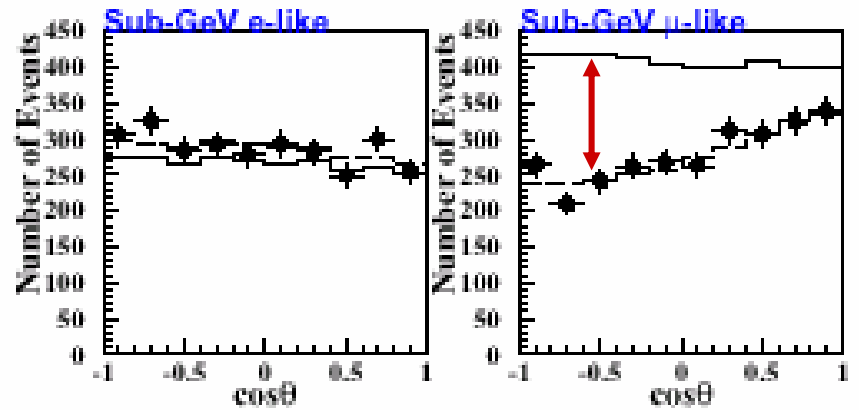
# Atmospheric $\nu$ : up-down asymmetry



## Super-K results

$\nu_e$

$\nu_\mu$



up

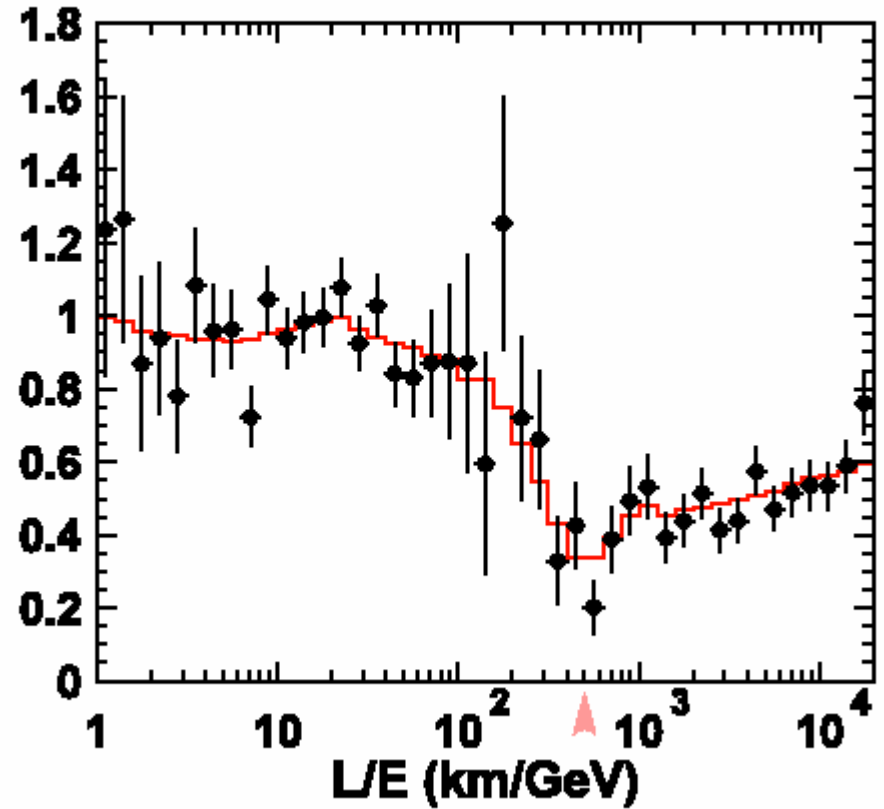
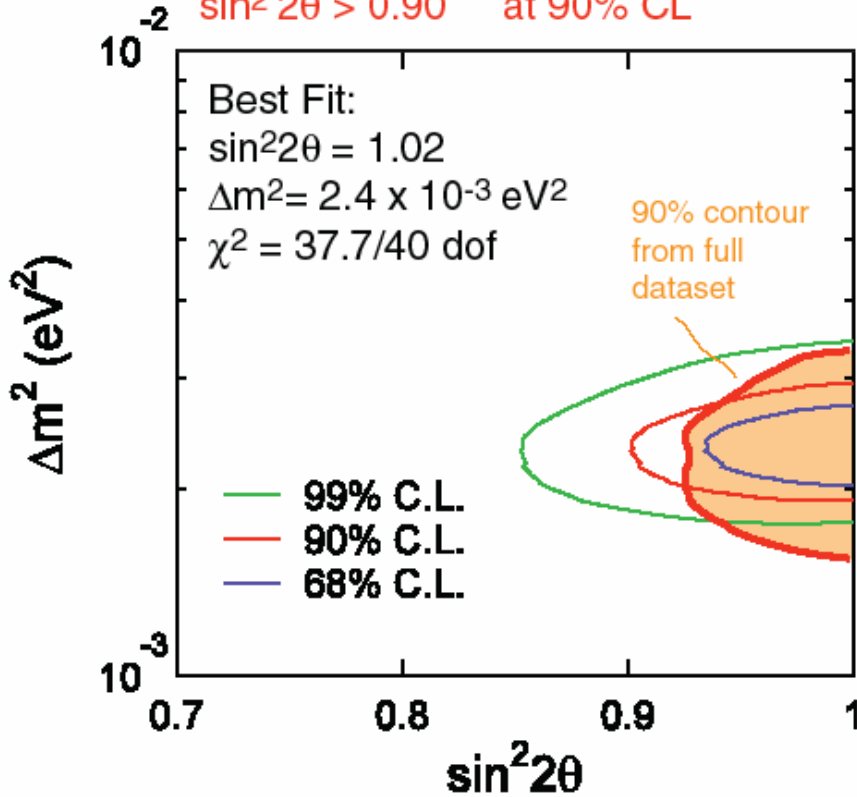
down



# Atmospheric Neutrinos

## SuperKamiokande Atmospheric Result

$1.9 \times 10^{-3} \text{ eV}^2 < \Delta m^2 < 3.0 \times 10^{-3} \text{ eV}^2$   
 $\sin^2 2\theta > 0.90$  at 90% CL





Super-KAMIOKANDE



KEK

## *K2K Collaboration*



- JAPAN:** High Energy Accelerator Research Organization (KEK) / Institute for Cosmic Ray Research (ICRR), Univ. of Tokyo / Kobe University / Kyoto University / Niigata University / Okayama University / Tokyo University of Science / Tohoku University
- KOREA:** Chonnam National University / Dongshin University / Korea University / Seoul National University
- U.S.A.:** Boston University / University of California, Irvine / University of Hawaii, Manoa / Massachusetts Institute of Technology / State University of New York at Stony Brook / University of Washington at Seattle
- POLAND:** Warsaw University / Solton Institute
- Since 2002
- JAPAN:** Hiroshima University / Osaka University    **U.S.A.:** Duke University
- CANADA:** TRIUMF / University of British Columbia
- ITALY:** Rome    **FRANCE:** Saclay    **SPAIN:** Barcelona / Valencia    **SWITZERLAND:** Geneva
- RUSSIA:** INR-Moscow

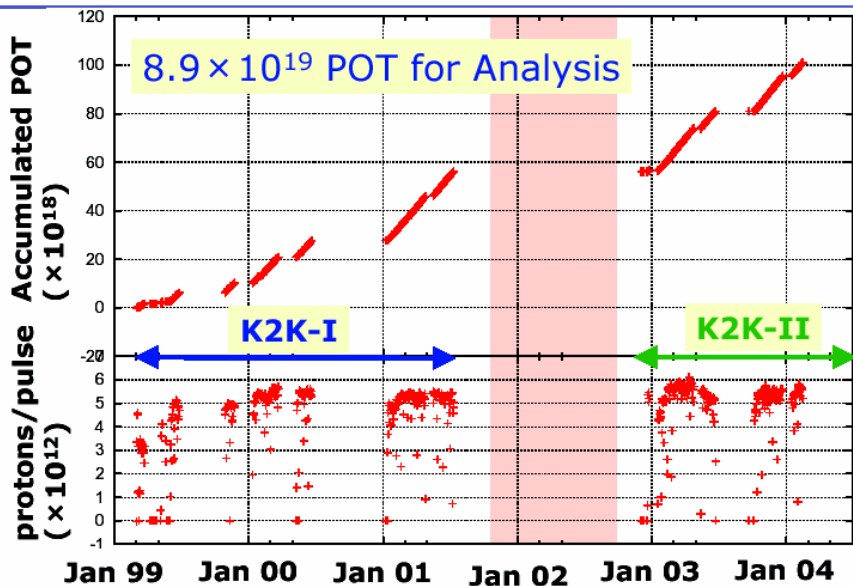




# Accumulated POT (Protons On Target)

# K2K-SK events

preliminary

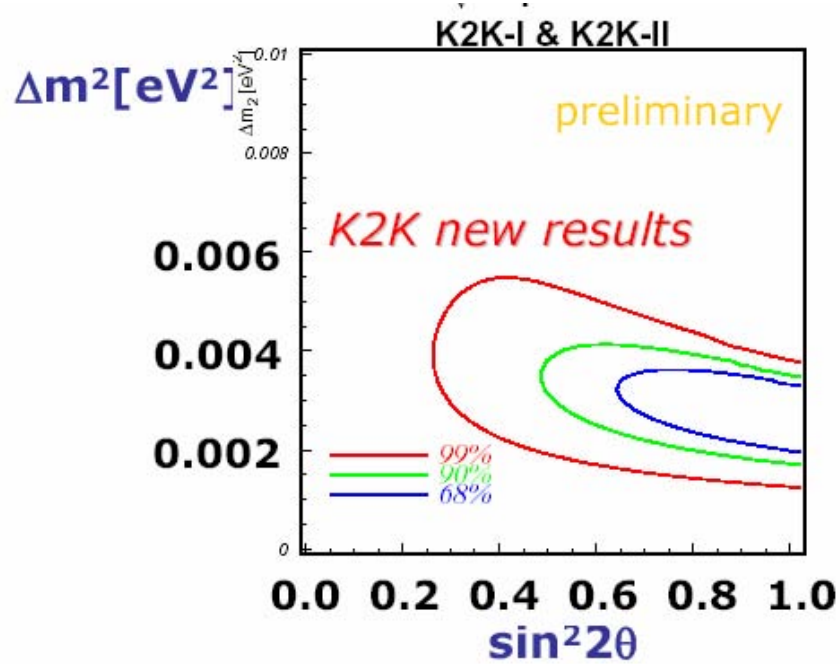
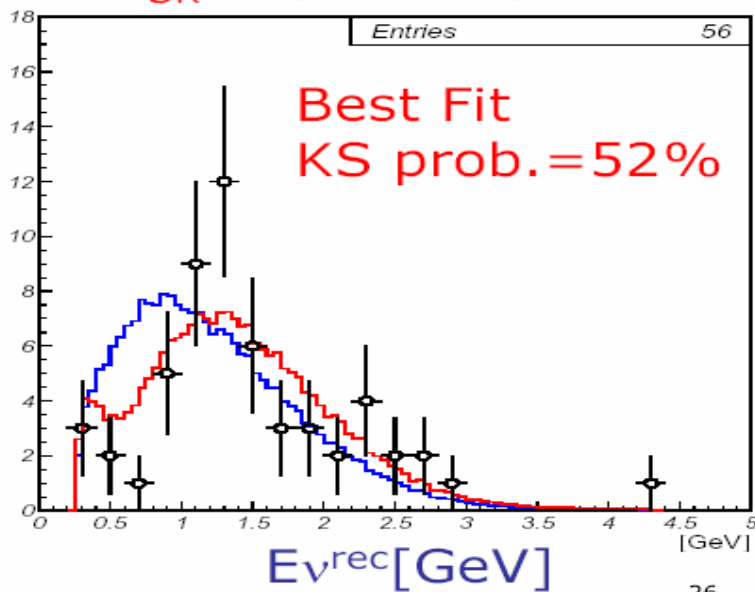


K2K-all (K2K-I, K2K-II)	DATA (K2K-I, K2K-II)	MC (K2K-I, K2K-II)
<b>FC 22.5kt</b>	<b>108</b> (56, 52)	<b>150.9</b> (79.1*, 71.8)
1ring	<b>66</b> (32, 34)	<b>93.7</b> (48.6, 45.1)
$\mu$ -like <small>for <math>E_{\nu rec}</math></small>	<b>57 (56)</b> (30, 27)	<b>84.8</b> (44.3, 40.5)
e-like	<b>9</b> (2, 7)	<b>8.8</b> (4.3, 4.5)
Multi Ring	<b>42</b> (24, 18)	<b>57.2</b> (30.5, 26.7)

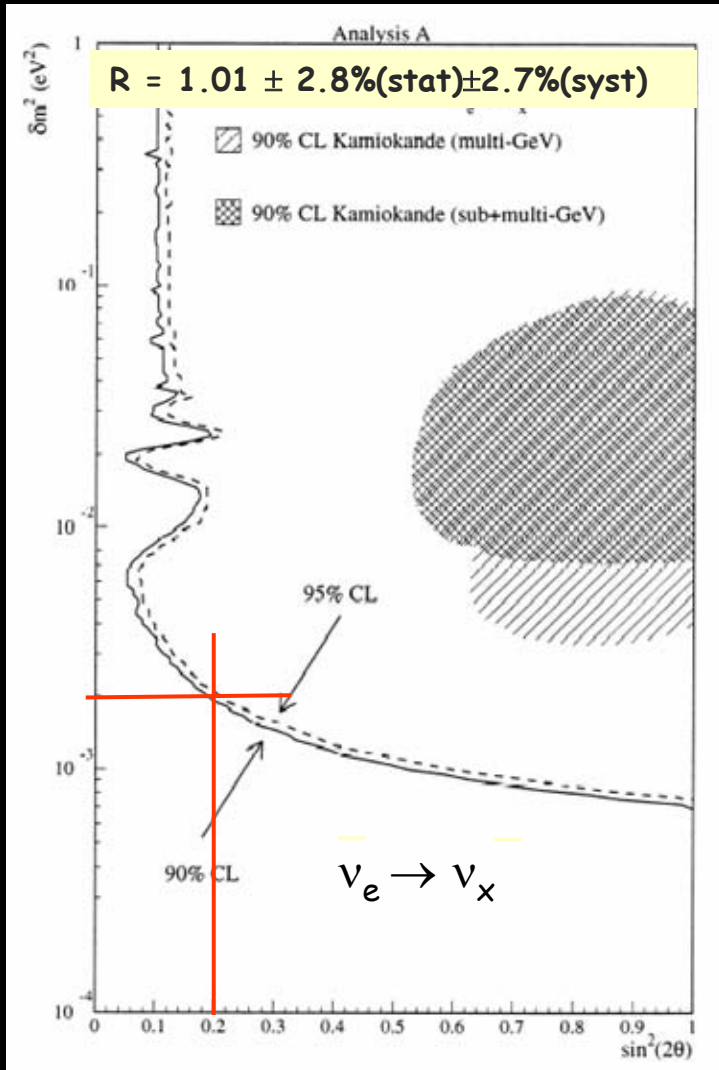
Ref; K2K-I( $47.9 \times 10^{18}$ POT), K2K-II( $41.2 \times 10^{18}$ POT)<sup>23</sup>  
 \*: The number is changed from the previous one.

$N_{SK}^{obs} = 108$

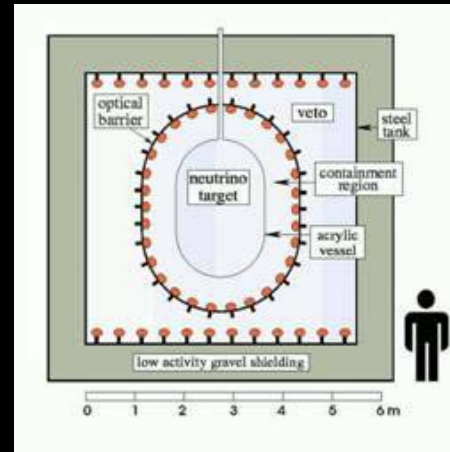
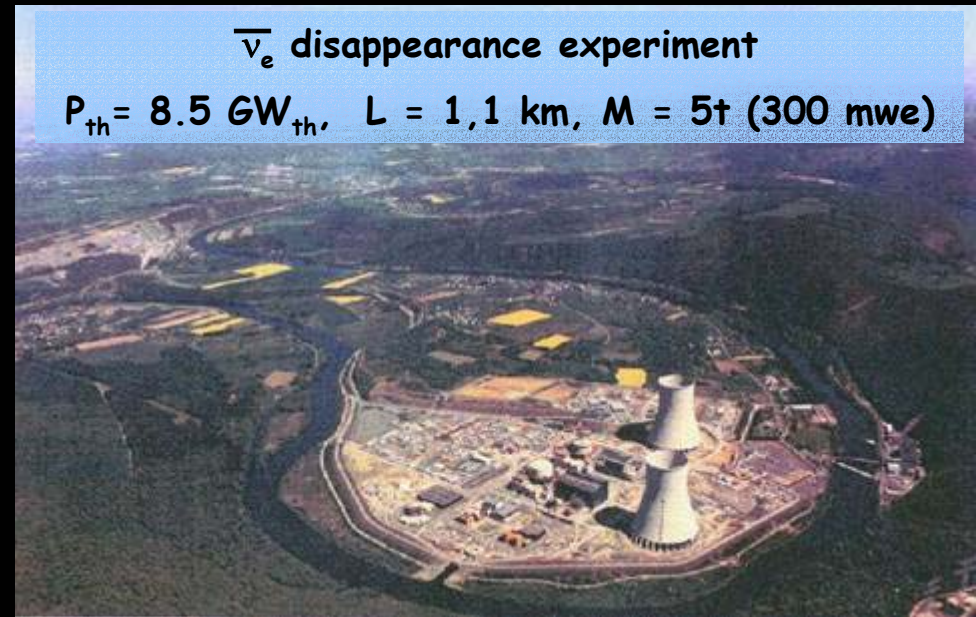
$N_{SK}^{exp} (best\ fit) = 104.8$



# $\theta_{13}$ : Best current constraint: CHOOZ



M. Apollonio et. al., Eur.Phys.J. C27 (2003) 331-374



World best  
constraint !

@  $\Delta m^2_{\text{atm}} = 2 \cdot 10^{-3} \text{ eV}^2$

$\sin^2(2\theta_{13}) < 0.2$

(90% C.L)



## General framework :

1. We know that there are **three** families of active, light neutrinos (*LEP*)
2. **Solar** neutrino oscillations are **established** (*Homestake+Gallium+Kam+SK+SNO*)
3. **Atmospheric** neutrino ( $\nu_\mu \rightarrow \nu_e$ ) oscillations are **established** (*IMB+Kam+SK+Macro+Sudan*)
4. At that frequency, electron neutrino oscillations are small (*CHOOZ*)

This allows a consistent picture with 3-family oscillations preferred:

LMA:  $\theta_{12} \sim 30^\circ$   $\Delta m_{12}^2 \sim 6 \cdot 10^{-5} \text{eV}^2$ ,  $\theta_{23} \sim 45^\circ$   $\Delta m_{23}^2 \sim \pm 2.5 \cdot 10^{-4} \text{eV}^2$ ,  $\theta_{13} < \sim 10^\circ$   
with several unknown parameters

=> an **exciting** experimental program for at least 25 years \*)  
including **leptonic CP & T violations**

5. There is indication of possible higher frequency oscillation (LSND) to be confirmed (miniBooNe)  
This is not consistent with three families of neutrinos oscillating, and is not supported (nor is it completely contradicted) by other experiments.  
*(Case of an unlikely scenario which hangs on only one not-so-convincing experimental result)*  
If confirmed, this would be **even more exciting**

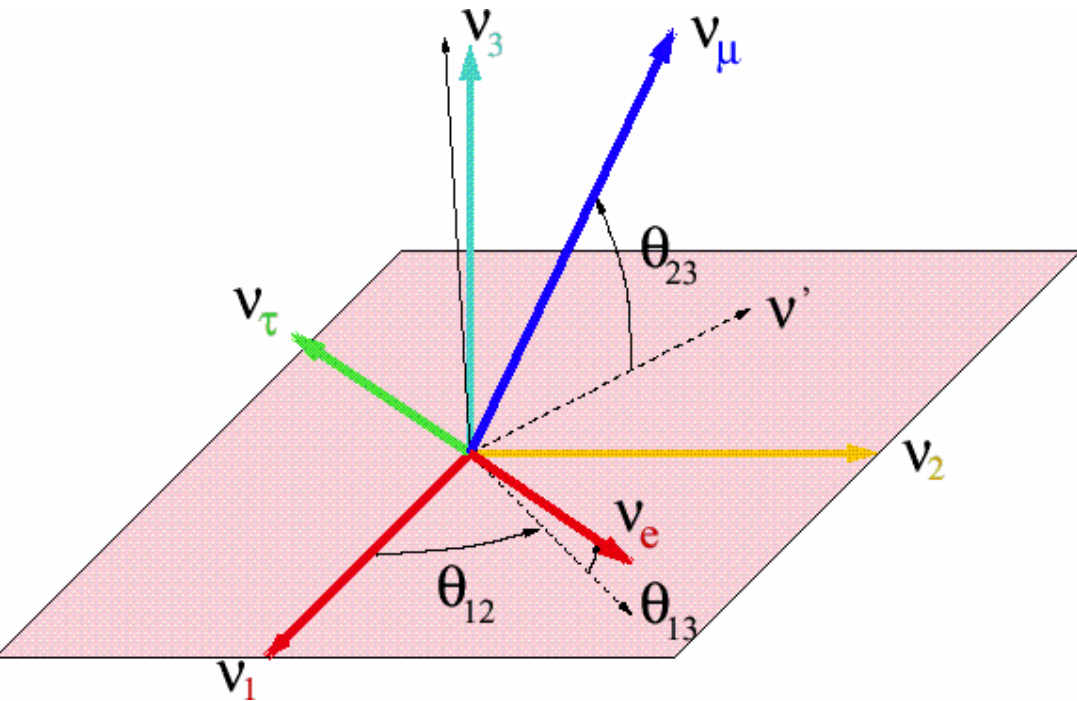
*(I will not explore this here, but this has been done. See *Barger et al PRD 63 033002* )*

\*)to set the scale: **CP violation in quarks** was discovered in 1964  
and there is still an important program (K0pi0, B-factories, Neutron EDM, BTeV, LHCb..) to go on for 10 years...i.e. a total of ~50 yrs.

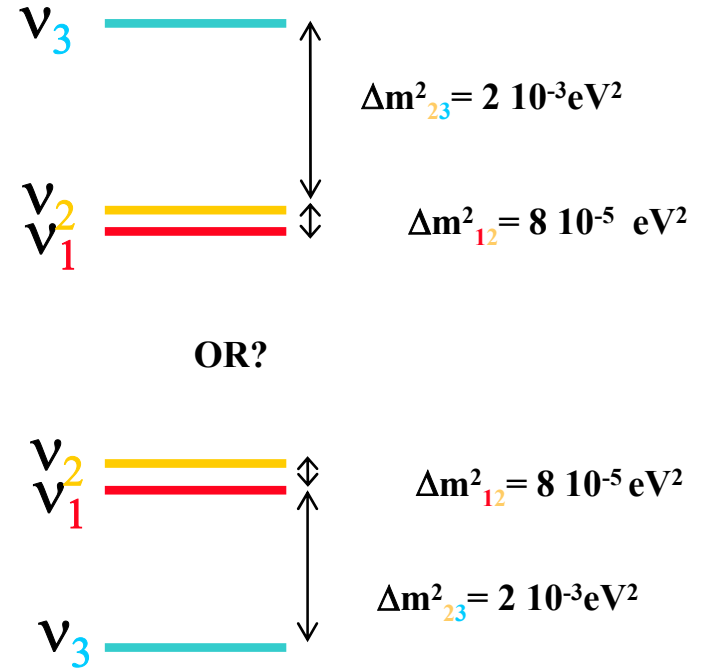
**and we have not discovered leptonic CP yet!**



# The neutrino mixing matrix: 3 angles and a phase $\delta$



$\theta_{23}$  (atmospheric) =  $45^\circ$ ,  $\theta_{12}$  (solar) =  $32^\circ$ ,  $\theta_{13}$  (Chooz) <  $13^\circ$

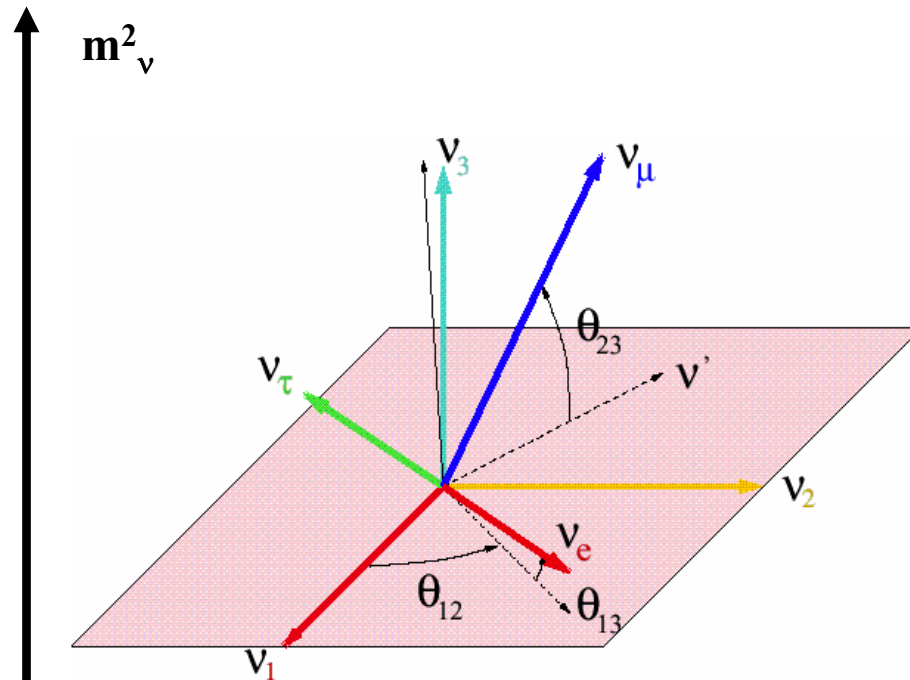
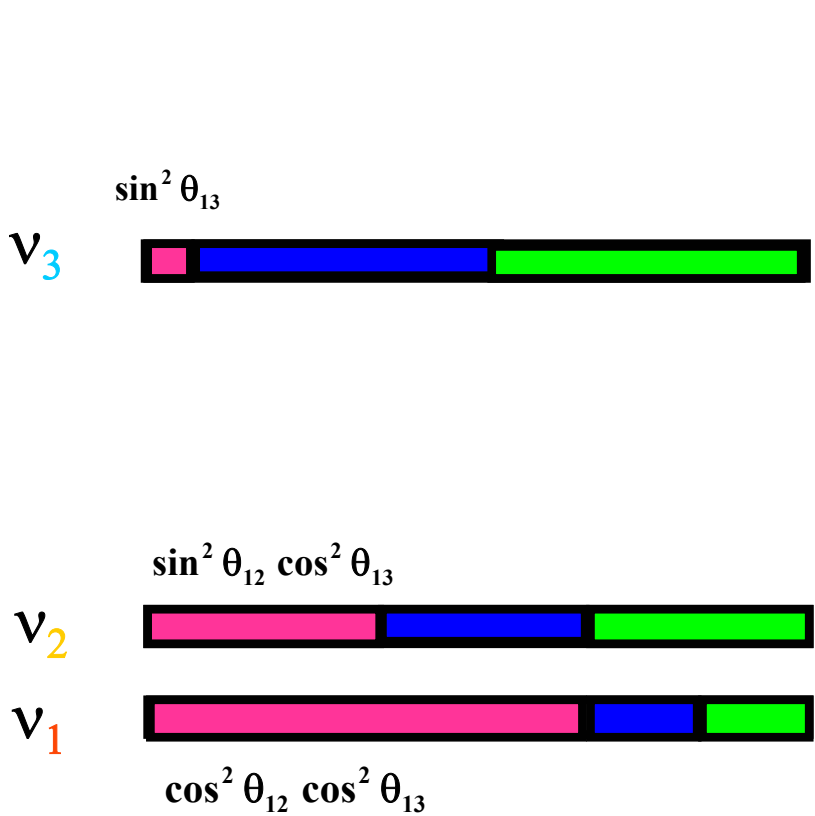


$$U_{MNS} : \begin{pmatrix} \sim \frac{\sqrt{2}}{2} & \sim -\frac{\sqrt{2}}{2} & \sin \theta_{13} e^{i\delta} \\ \sim \frac{1}{2} & \sim \frac{1}{2} & \sim -\frac{\sqrt{2}}{2} \\ \sim \frac{1}{2} & \sim \frac{1}{2} & \sim \frac{\sqrt{2}}{2} \end{pmatrix}$$

**Unknown or poorly known  
even after approved program:**  
 $\theta_{13}$ , phase  $\delta$ , sign of  $\Delta m_{13}^2$



# neutrino mixing (LMA, natural hierarchy)



$$U_{\text{MNS}} : \begin{pmatrix} \sim \frac{\sqrt{2}}{2} & \sim -\frac{\sqrt{2}}{2} & \sin \theta_{13} e^{i\delta} \\ \sim \frac{1}{2} & \sim \frac{1}{2} & \sim -\frac{\sqrt{2}}{2} \\ \sim \frac{1}{2} & \sim \frac{1}{2} & \sim \frac{\sqrt{2}}{2} \end{pmatrix}$$

$\nu_e$  is a (quantum) mix of  
 $\nu_1$  (majority, 65%) and  $\nu_2$  (minority 30%)  
 with a small admixture of  $\nu_3$  (< 13%) (CHOOZ)



**Neutrinos have mass and mix**

**This is NOT the Standard Model**

**why cant we just add masses to neutrinos?**





Majorana neutrinos

$$\nu_i = \bar{\nu}_i$$

or

Dirac neutrinos?

$$\nu_i \neq \bar{\nu}_i$$

$e^+ \neq e^-$  since **Charge**( $e^+$ ) = - **Charge**( $e^-$ ).

But neutrinos may not carry any conserved charge-like quantum number.

There is NO experimental evidence or theoretical need for a conserved **Lepton Number L** as

$$L(\nu) = L(l^-) = -L(\bar{\nu}) = -L(l^+) = 1$$

then, nothing distinguishes  $\nu_i$  from  $\bar{\nu}_i$

violation of fermion number....



**Adding masses to the Standard model neutrino 'simply' by adding a Dirac mass term**

$$m_D \nu_L \bar{\nu}_R$$

**implies adding a right-handed neutrino.**

**No SM symmetry prevents adding then a term like**

$$m_M \bar{\nu}_R^c \nu_R$$

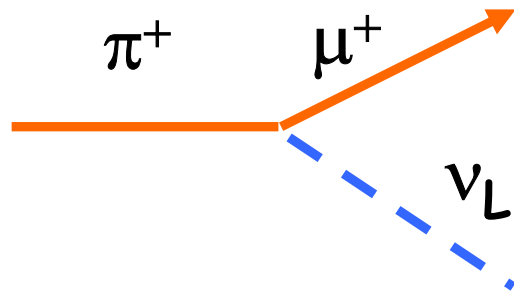
**and this simply means that a neutrino turns into an antineutrino  
(the charge conjugate of a right handed antineutrino is a left handed neutrino!)**

**this does not violate spin conservation since a left handed field has a component  
of the opposite helicity (and vice versa)**

$$\nu_L \approx \nu_- + \nu_+ m/E$$

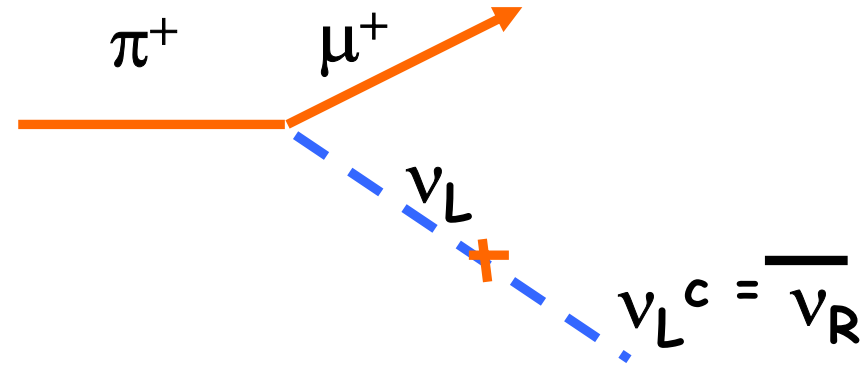


# Pion decay with massive neutrinos



**1**

+



$(m_\nu / E)^2$

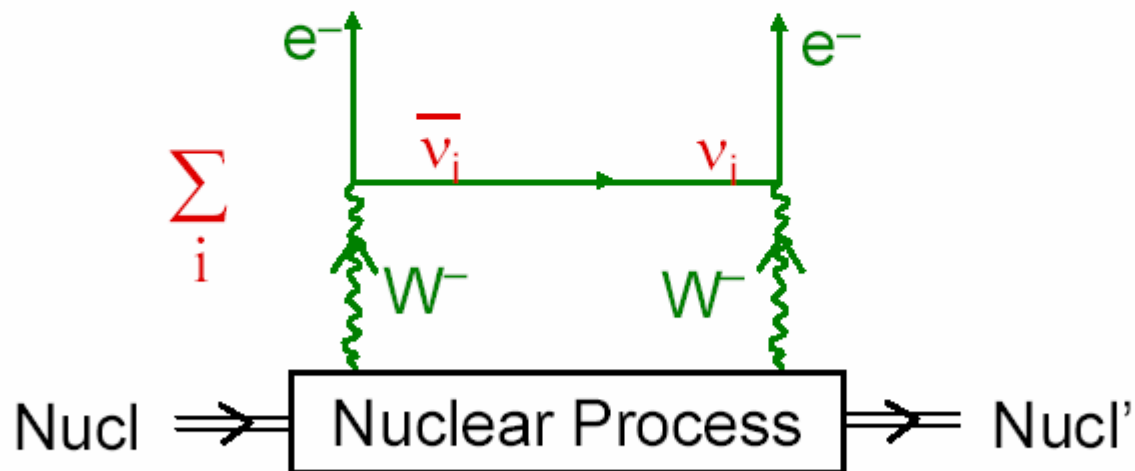
$$(.05/30 \cdot 10^6)^2 = 10^{-18}$$

no problem

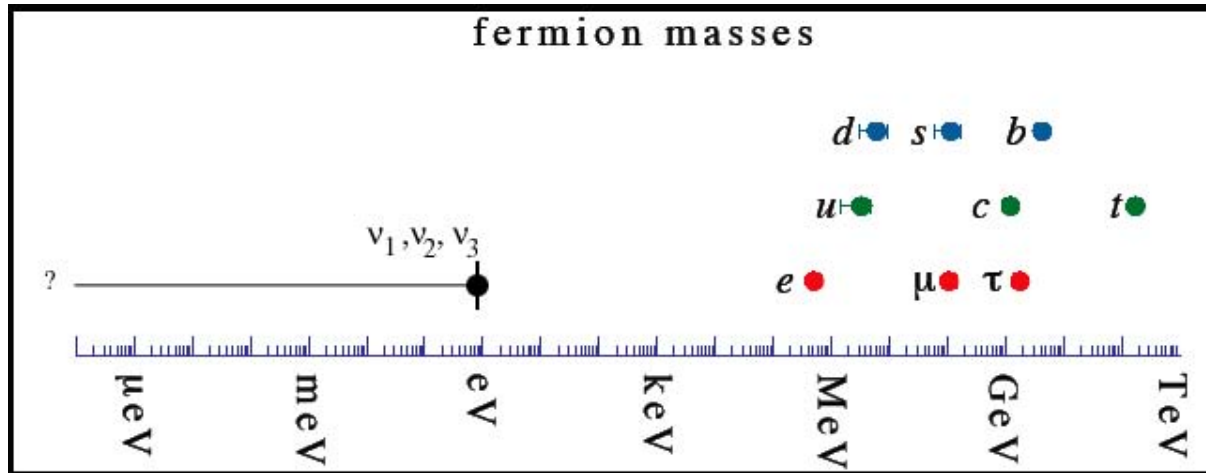


# The Idea That **Can** Work —

## Neutrinoless Double Beta Decay [ $0\nu\beta\beta$ ]



By avoiding competition, this process can cope with the small neutrino masses.



The mass spectrum of the elementary particles. Neutrinos are  $10^{12}$  times lighter than other elementary fermions. The hierarchy of this spectrum remains a puzzle of particle physics.

Most attractive wisdom: via the *see-saw mechanism*, the neutrinos are very light because they are low-lying states in a split doublet with heavy neutrinos of mass scale interestingly similar to the [grand unification scale](#).

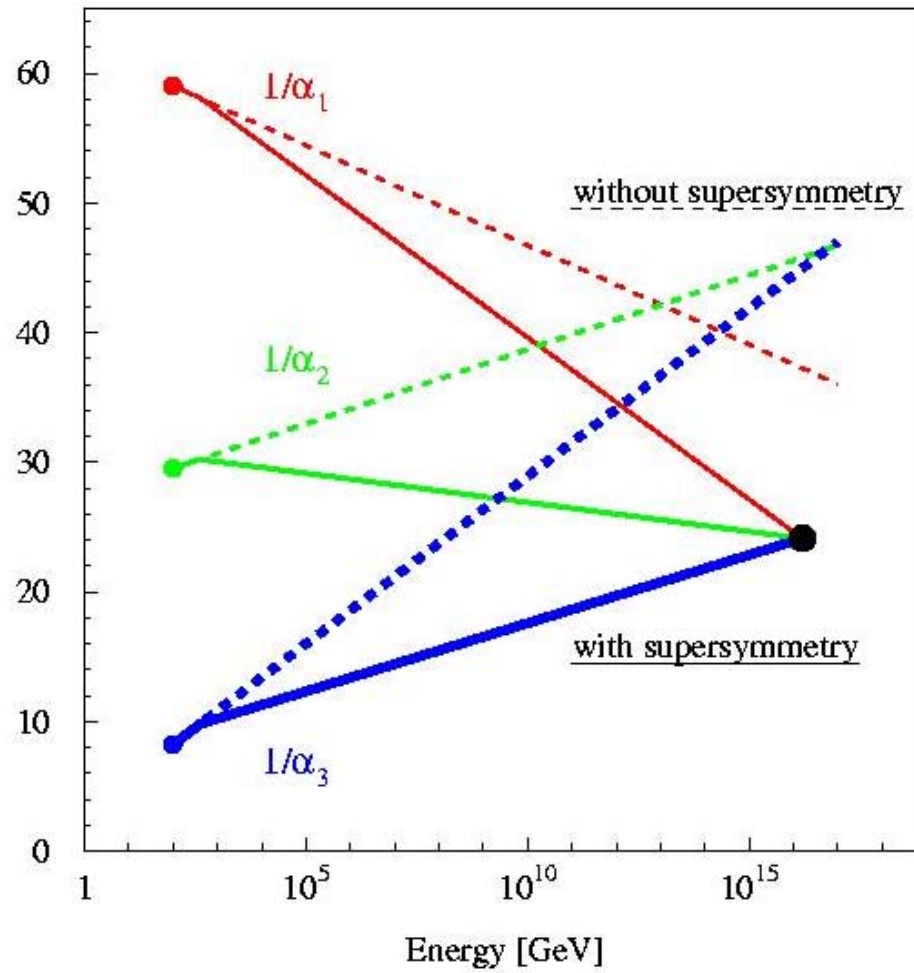
$$m_\nu M = \langle \nu \rangle^2$$

$$\text{with } \langle \nu \rangle \sim m_{\text{top}} = 174 \text{ GeV}$$

$$m_\nu = O(10^{-2}) \text{ eV}$$

$$M \sim 10^{15} \text{ GeV}$$







**food for thought: (simple)**

**what result would one get if one measured the mass of a  $\nu_e$  (in K-capture for instance)?**

**what result would one get if one measured the mass of a  $\nu_\mu$  (in pion decay)?**

**Is energy conserved when neutrinos oscillate?**

**see you on Thursday.....**

**future experiments on neutrino masses**

**-- oscillations and CP violation**

**-- neutrinoless double beta decay**

